

St. Joseph County Housing Consortium

Action Plan for Program Year 2009

to be attached to the
Housing and Community Development Plan for 2005 - 2009
already submitted

Includes proposed projects for program year 2009 in the following programs:

South Bend CDBG

South Bend ESG

Mishawaka CDBG

St. Joseph County Housing Consortium HOME

ST. JOSEPH COUNTY HOUSING CONSORTIUM
HOUSING & COMMUNITY DEVELOPMENT PLAN 2005 - 2009
Action Plan - 2009

Required information for Action Plan as set out in 24CFR91.220:

(a) *Form application* (Standard Form 424) - See attached forms for each program.

(b) *Resources* -

(1) *Federal Resources* - See attached "Anticipated Funding Sources - Program Year 2009".

(2) *Other Resources* - Resources necessary to provide match for the HOME and ESG program are outlined on the attached activity descriptions for each project funded under those two programs. At this time, no other funds are yet committed to carry out the plan.

(c) *Activities to be undertaken* - See attached activity descriptions.

(d) *Geographic distribution* - Attached activity descriptions outline the geographic "service area" and/or "location" of each project. The majority of the projects are offered citywide (either South Bend or Mishawaka, depending upon the project). However, some projects are offered only in the low/moderate income neighborhoods of the respective cities. The City of South Bend, in partnership with the University of Notre Dame and South Bend Heritage is redeveloping an area in census tract 10. This census tract is presently occupied by 69% low/mod income households. HOME funds in the form of mortgage subsidies, will be available for low/mod income households to purchase new homes in this area.

Intensive work continues in CT 20 and 19 in the West Washington/-Chapin neighborhood of South Bend and CT 6 in the Near Northwest neighborhood of South Bend. These areas have been identified as priorities because they represent some of the oldest housing in the City and much of it has fallen into disrepair over the years. The NNN targets their efforts to CT6. Most of the Block Groups within CT 6 are 20-40% minority. Census tract 6 is 72% low/mod income. South Bend Heritage Foundation targets their efforts in CT19, CT20, and CT21 on the near west side of the City. The Block Groups within CT 19 and 20 are all between 20 and 100% minority. Census tract 19 is 71.5% low/mod income and census tract 20 is 67% low/mod income.

The City of South Bend, in partnership with South Bend Heritage Foundation (SBHF) and Neighborhood Development Associates (NDA), is proposing a Neighborhood Revitalization Strategy Area (NRSA) in CT 19 and CT20. It was determined that this designation would enable the City, NDA and SBHF to partner in the development of mixed-income housing on vacant lots and rehabilitation of vacant and/or abandoned homes in the proposed NRSA. The City is also in the process of designating, with the approval of HUD, South Bend Heritage Foundation as a Community Based Development Organization (CBDO). The advantages of having a designated NRSA and CBDO are the additional uses of CDBG funds allowed by HUD. Within a NRSA, the aggregation of housing units assisted in the NRSA, allows the city to count units as a single structure for purposes of applying the low and moderate- income national benefit. Without the NRSA designation 100% of all units must be occupied by income eligible family. Within the NRSA area a small portion of the units may be occupied by families that are over the HUD income limits. In addition CDBG funds cannot be used for new construction unless the area is designated as a NRSA and developed by a CBDO. A separate application will be forwarded

with this Action Plan submission for approval of the NRSA.

(e) *Homeless and other special needs activities* - For information on addressing the needs of the County's homeless see attached activity descriptions for the Emergency Shelter Grant program for 2009. See also the Consortium's Housing & Community Development Plan 2005 - 2009, Continuum of Care (pgs 32-34). For information on other special needs populations see activity descriptions for REAL Services' Older Adult Crime Victim program; REAL Services Guardianship Program, and the AIDS Ministries/AIDS Assist program. See also the Consortium's Housing & Community Development Plan 2005 - 2009, Social Services and Homeless and Strategies (pgs 39-43).

(f) *Other actions* -

(1) *General* -

Address obstacles to meeting underserved needs - The largest obstacle is lack of sufficient time and resources. The City of South Bend has supported countless organizations that provide services for our most needy population, however with the potential for decline in Federal and State funding and the decline in property tax revenue, it is increasingly difficult for Cities and Counties in Indiana to financially support organizations without decreasing funds for other needed services.

Foster and maintain affordable housing - Several activities funded for this program year address this issue. See individual activity descriptions for SBHIP#22, Rebuilding Together#5, Housing Assistance Office County-wide rehab#10, South Bend Heritage (SBHF) Mortgage subsidies#13, SBHF Near West Side Acquisition & Rehab#14 & #17, SBHF Washington/Dunbar homes rehab#15, Habitat 2009 Partnership Housing Project and 2009 Cleveland rehab #23 & #24, Community Homebuyers Corporation Mortgage Subsidy #28, REWARD #31, Near Northwest Neighborhood (NNN) Revitalization #36 & #38, City of Mishawaka First Time Homebuyer #46, City of Mishawaka Self Sufficient Homeownership #49, and the City of Mishawaka Owner Occupied Rehab #51.

Remove barriers to affordable housing - See Housing & Community Development Plan 2005 - 2009 (pgs29-31).

Evaluate and reduce lead-based paint hazards - This area will be addressed as required under all applicable housing rehab programs. The Housing Authority of South Bend in partnership with the St. Joseph County Health Department received a Lead Hazard reduction grant of \$3,000,000. The City of South Bend and St. Joseph County have each contributed \$21,000 in addition to the \$3,000,000 grant. These funds will be spent over 3 years to educate and make lead safe 189 homes throughout St. Joseph County, including the cities of South Bend and Mishawaka. Through September 2008 lead hazard control has been completed in 25 homes, and 181 units will be completed in October. In addition, 5,635 children have been tested for EBL's.

Reduce the number of poverty level families - Programs such as those offered at LaCasa de Amistad provide additional education to provide a "hand up" to low/mod clients. In addition, 4Cs Child Care Subsidy and FCC Child Care programs help low/mod families while working or pursuing additional training/education.

Develop institutional structure -For information see Housing & Community

Development Plan 2005-2009 (pgs 6-13).

Enhance coordination between public and private housing and social service agencies – Local public and private housing and social service agencies will continue to enhance their communication and information sharing efforts to provide referrals and complementary programming to those seeking housing services.

Foster public housing improvements and resident initiatives - See Housing & Community Development Plan 2005-2009 (pgs. 27-28 and Table 4 on page 85).

(2) *Public housing* - See Housing & Community Development Plan 2005-2009 (pgs. 26-27).

(g) *Program-specific requirements* -

(1) *CDBG*

(i) *Describe activities to use all funds anticipated* - Note all anticipated funds (including projected program income to be received in 2009) have been allocated to projects in this document.

(ii) *CDBG funds expected to be available during the program year* include:

(A) Program Income received before the start of next program year - These funds were programmed as part of the 2009 Annual Plan.

(B) Surplus from urban renewal settlements - None anticipated.

(C) Grant funds returned to the line of credit - None anticipated.

(D) Income from float-funded activities - None anticipated.

(iii) *“Urgent needs” activities* - None anticipated.

(iv) *Sufficient detail* - See attached activity descriptions.

(2) *HOME*

(i) *Other forms of investment not described in 24CFR92.205(b)* - All HOME funds will be distributed as forms of investment outlined in 24CFR92.205(b).

(ii) *Guidelines for resale or recapture* - See attached Appendix I, page 6.

(iii) *Refinance existing debt* - The St. Joseph County Housing Consortium has no plans to refinance existing debt secured by multifamily housing.

(iv) *Tenant-based Rental Assistance* - The 2009 plan will fund rental assistance for 1 special needs group: persons with severe mental illness. Please see 2005-09 Housing & Community Development Plan, pages 28-29 and 43, for more information.

(v) *Affirmatively Market Housing containing 5 or more HOME-assisted units* - See attached Appendix III page 9 for more information.

(vi) *Minority Outreach* - See attached Appendix II page 8.

(3) *American Dream Downpayment Initiative (ADDI)*

(i) *Use of ADDI funds* - See Summary chart of 2009 allocations.

(ii) *Plan for targeted outreach to residents of public housing* – The Housing Assistance Office acts as the St. Joseph County Housing Authority and also administers one of the consortium’s homeownership assistance programs. This provides for a perfect way to reach public housing clients since the staff already knows the clients and vice versa. In addition, the consortium is undertaking actions to strengthen its relationship with public housing authorities and communicate freely on all programming available to low/moderate income clients.

(iii) *Actions to ensure ability to maintain homeownership* – For many years, this community has required counseling/training for clients prior to providing

homeownership assistance. The City of South Bend has been certified as a HUD Counseling agency, which will shift the counseling function that was previously under the Housing Development Corporation of St. Joseph County. Hedy Robinson, Senior Housing Specialist with the City of South Bend is a HUD Certified Counselor and also a State Certified Foreclosure Intervention Specialist and is qualified to provide information and counseling services to families facing mortgage default or foreclosure.

(iv) *Estimate the number of minority households to receive homeownership assistance* - Based on past experience, the consortium would expect roughly 30 to 40% of the homeownership clients to be African American and 10 to 20% to be Hispanic. Over the 2005-2009 Plan period, it is estimated those numbers would be 30 - 40 African American households and 10 - 20 Hispanic households.

Required information under 24CFR91.420:

(a) *Form application* (Standard Form 424) - See attached forms for each program.

(b) *Description of resources and activities* - See responses for (b) and (c) above.

Additional Information requested under HUD's Annual Action Plan Completeness Checklist (but not required under 24CFR91.220).

Expenditure Limits

5-7. Compliance with mandated caps - None of the caps are exceeded.

8. CHDO set-aside - Is met, based on the proposed amount of funding.

9. HOPWA cap - Not applicable.

Under Checklist Section Titled "Monitoring (91.230)" (but not necessarily clearly outlined in 24CFR91.230)

1. Standards and procedures to monitor - See Housing & Community Development Plan 2005-2009 (page 16). In addition, the consortium continue "(s)" to work, on an on-going basis, with all subgrantees to review and analyze their activities. These same subgrantees are required to submit information to us routinely about their minority business outreach efforts, use of minority construction businesses, etc. as required to stay in compliance with applicable program requirements. The attached Appendix II is made a part of each HOME contract awarded.

2. Actions to monitor performance in meeting goals and objectives – See CPMP - Summaries of Specific Annual Objectives.

3. Actions to insure compliance with program requirements, including requirements involving the timeliness of expenditures - See Housing & Community Development Plan 2005 - 2009 (page 16). Timeliness of expenditures is watched routinely throughout the year through review

of program expenditure reports and other reports designed specifically for that purpose. The Consortium generally had no problem in this area.

4. Ensure long-term compliance with HOME on-site inspection requirements during program year - In compliance with the regulations, HOME-assisted rental properties are inspected as required. See attached Rental Housing Activity - Inspection Schedule as Appendix IV.

5. Monitoring subrecipients - See Housing & Community Development Plan 2005-2009 (pg 16).

Consistency with NAHA:

Does Plan provide assistance to help families, not owning a home, to save for a downpayment for the purchase of a home? See Activity Descriptions for REWARD(activity description #31); Community Homebuyer's Corporation (activity description #28); Housing Assistance Office 1st Time Homebuyer's Assistance program (activity description #38); City of Mishawaka 1st. Time Homebuyers Education Program Activity description #46) and the City of Mishawaka's Self Sufficiency Program #49.

Does the Plan provide assistance to retain, where feasible, as housing affordable to low income families, those dwelling units provided for such purpose with federal assistance? See Activity Descriptions for South Bend Home Improvement Program (activity description #22); Mishawaka Owner Occupied Rehab Program (activity description #51) and Housing Assistance Office County-wide Owner Occupied Rehab Program (activity description #10).

Does the Plan provide assistance to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production and operation of housing affordable to low and moderate income families? See Activity Description for SBHF Washington/Dunbar Homes Rehab (activity description #15) and Activity Descriptions for two above questions.

Does the Plan provide assistance to expand and improve federal rental assistance for very low-income families? See Activity Description for Madison Center Rental Assistance (activity description #29) and Activity Description for Washington-Dunbar Rental Homes Rehabilitation (activity description #15).

Does the Plan provide assistance to increase the supply of supportive housing, which combines structural features and services needed to enable persons with special needs to live with dignity and independence? No increased supply of supportive housing was funded in 2009, although Madison Center Rental Assistance will allow special needs individuals to live with dignity and independence.

**ST. JOSEPH COUNTY HOUSING CONSORTIUM
RECAPTURE GUIDELINES**

The amount of equity to be returned to the initial home buyer of an affordable housing unit rehabilitated with HOME Funds (Homeowner) (Unit), and the amount of HOME funds subject to recapture under 24 CFR Part 92, Section 92.254, with respect to such Unit, will be determined as follows:

1. HOME funds expended for eligible activities in connection with the development of affordable housing, and which are allocable to such unit, will not be recaptured upon the initial sale by the recipient of HOME funds of such Unit.
2. HOME funds will be deemed allocated to a Unit (the Allocation) in accordance with the scope of the project wholly or partially financed by such HOME funds. In the case of a project consisting solely of affordable housing units, HOME funds shall be allocable to each Unit developed by the project in the same proportion the HOME funds bear to the total of all funds expended in completing the project.
3. The total HOME investment in the Unit that is subject to recapture upon sale of the Unit by the Homeowner, and each subsequent sale during the minimum period described in paragraph number 4, herein below (Recapture Amount) (Recapture Period), is that portion of the Allocation that enabled the Homeowner to buy the Unit, which includes that portion of the Allocation (whether in the form of a direct subsidy to the Homeowner or a construction or development subsidy) that reduced the Homeowner's purchase price for the Unit from the fair market value of the Unit (as determined by an independent appraisal of the after-rehabilitation value of the Unit) to an affordable price (determined to be the Homeowner's purchase price for the Unit).
4. The Recapture Amount is subject to recapture under these guidelines for the following minimum periods:
 - A. Five (5) years where the Allocation is less than \$15,000.00;
 - B. Ten (10) years where the Allocation is \$15,000.00 to \$40,000.00;
 - C. Fifteen (15) years where the Allocation is greater than \$40,000.00.
 - D. Twenty (20) years where the Allocation is for new construction regardless of the dollar amount invested.
5. The deed effectuating the subsequent sale of the Unit by the Homeowner, and the deeds effectuating each subsequent sale of the Unit during the Recapture Period, shall reflect the balance of the Recapture Amount yet to be recaptured.
6. Upon the satisfaction of the recapture requirements with respect to any Unit, the St. Joseph County Housing Consortium shall promptly issue a certificate of satisfaction regarding the same and cause the recording of the same in the Office of the Recorder of St. Joseph County.

DEED RESTRICTIONS

Grantor makes this conveyance subject to the following restrictions reserved to Grantor and which Grantor herein conveys and assigns to the St. Joseph County Housing Consortium, an agency created by agreement of the City of South Bend, Indiana, the City of Mishawaka, Indiana, and the County of St. Joseph, Indiana, pursuant to I.C. 36-1-7:

1. The restriction, which is enforceable by the St. Joseph County Housing Consortium, its successors and assigns, requires the real estate that is the subject of this conveyance to remain affordable as the term is defined at 24 C.F.R. 92.254, as amended from time to time, and shall expire _____ (____) years from the date of execution of this instrument. This restriction shall run with the land, without regard to the term of any mortgage or other instrument, or transfer of ownership.
2. This restriction, which is enforceable by the St. Joseph County Housing Consortium, its successors and assigns, requires and authorizes the recapture by the St. Joseph County Housing Consortium, its successors and assigns, of the HOME investment in the Real Estate that enabled the Grantee, _____, to purchase the Real Estate.
3. Recapture shall be undertaken in accordance with the GUIDELINES OF THE ST. JOSEPH COUNTY HOUSING CONSORTIUM CONCERNING RECAPTURE OF HOME FUNDS, recorded as Document Number _____ in the Office of the St. Joseph County.
4. The total amount subject to recapture upon any subsequent sale of the Real Estate is _____ Dollars (\$_____).
5. The recapture provisions herein shall run with the land, without regard to the term of any mortgage or other instrument, or transfer of ownership, and shall be in full force and effect until the sooner of the date on which the full recapture amount is recaptured or _____, _____, after which time they shall be terminated and be of no further affect.

**ST. JOSEPH COUNTY HOUSING CONSORTIUM
MINORITY BUSINESS OUTREACH
POLICY AND PROCEDURES**

It is the policy of the St. Joseph County Housing Consortium to encourage and support the participation of minority and women owned businesses in the benefits of the HOME program. To ensure the maximum inclusion possible, the Consortium will follow the following procedures for the HOME program:

1. The Consortium will annually publish its policy and solicit, through advertisement in the South Bend Tribune. Businesses owned by minorities and women which might reasonably offer services needed under the HOME program activities, are envisioned to most likely be in the area of real estate, construction, appraisals, property management, financial and/or investment banking, underwriting, accounting, and legal services, but any minority or women owned business can submit its name and description of its services to the Consortium.
2. The Consortium will forward the most current list available of all minority and women owned businesses to all successful applicant organizations for HOME funds.
3. The successful applicant organizations will be required to contact all minority businesses, offering services needed by the organization in carrying out the HOME program, with copies of any ads outlining information on where, when and how to submit bids or proposals for such work.
4. Applicant organizations must keep records on contacts made to minority and women businesses and any correspondence (letters, proposals, bids, etc.) received from minority and women businesses for any contracts let through the HOME program. Such information must be relayed to the Consortium at least semi-annually in time for inclusion in the required report of minority and women owned business contracts awarded.
5. The Consortium will review such information annually to determine the success of these efforts as well as any independent actions the organization has taken to solicit and encourage participation of minority and women owned business in the HOME program.

The Consortium Board is made up of the chief elected officials of St. Joseph County, Mishawaka, and South Bend, or their designees.

**ST. JOSEPH COUNTY HOUSING CONSORTIUM
AFFIRMATIVE MARKETING PROCEDURES**

The HOME program, as defined in 24 CFR Part 92, requires participating jurisdictions to design a statement of policy and procedures to be followed to meet the requirements for affirmative marketing of HOME-assisted housing containing 5 or more units as directed in 24 CFR 92.351. The following will serve as that policy.

The policies and procedures specified below will pertain to the marketing of units in HOME projects, for both initial and subsequent tenants, with availability of such units. It is the policy of the St. Joseph County Housing Consortium to ensure good faith efforts to provide information to and attract eligible persons from all racial, ethnic, and gender groups in the housing market area to the housing available.

1. To inform the public of the St. Joseph Housing Consortium affirmative marketing policy, the Equal Housing Opportunity logo or slogan will be used in media announcements regarding the availability of Draft or Proposed Housing & Community Development Plans, these notices will appear in the South Bend Tribune. The affirmative marketing policy and fair housing laws will be addressed whenever a public meeting is held to describe the general HOME program.
2. The Consortium contracts with individual member jurisdictions, not-for-profit groups, or other eligible agencies to carry out the individual programs. Contracts with participating HOME organizations include a copy of this policy and include language requiring the organizations to follow the procedures. These participating organizations then have a responsibility to carry out the Consortium's policy by ensuring:
 - A. Tenants in units to be rehabilitated are to be informed of the fair housing laws at the same time the participating organization informs them of its tenant assistance policy.
 - B. The application for funding form will inform the applicant/owners of the affirmative marketing policy and fair housing laws when applying for funds through HOME.
 - C. Compliance with the conditions of the affirmative marketing procedures will be required by a written agreement between the Consortium and the participating organization and, if necessary, between the participating organization and the owner. Said agreement shall be applicable for a period of 5, 10, 15 or 20 years (in accordance with the requirements set out in 24CFR92.252(a)(5) beginning on the date on which all the units in the project are completed.
 - D. By virtue of the contractual agreements, the applicants/owners must agree to comply with Equal Opportunity requirements applicable to the HOME activities. Specifically, the applicant agrees to comply with:
 - (1) Title VI, Civil Rights Act of 1964, which provides that no person in the United States shall on the grounds of race, color, or national origin be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

- (2) Title VIII, Civil Rights Act of 1968, which provides for fair housing throughout the United States. Kinds of discrimination prohibited: refusal to sell, rent, or negotiate, or otherwise to make unavailable; discrimination in terms, conditions and privileges; block-busting; discrimination in financing and discrimination in membership in multi-listing services and real estate brokers organization. Discrimination is prohibited on the grounds of race, color, religion, sex and national origin. The Secretary of HUD (and participating jurisdictions) shall administer programs and activities relating to housing and urban development in a manner affirmatively to further the policies of this Title.
 - (3) Executive Order 11063, which requires equal opportunity in housing and related facilities provided by Federal financial assistance.
 - (4) The prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975, 42 U.S.C. 6101-07, and the prohibition against discrimination against handicapped individuals under Section 504 of the Rehabilitation Act of 1973, 29 U.S.C. 794.
 - (5) The Fair Housing Amendments Act of 1988, which prohibits discrimination in the sale or rental of housing on the basis of a handicap or because there are children in a family.
- E. Applicants/Owners with Five (5) or more HOME assisted units under this contract, are required to use the Equal Housing Opportunity logo type or slogan in all press releases, advertisements, written communications to fair housing and other groups, and any other marketing material advertising the availability of units assisted under the HOME program.
 - F. Applicant/Owners are required to advertise in the South Bend Tribune. In addition, as well as making appropriate steps to notify neighborhood publications, churches, and neighborhood centers in order to affirmatively market and solicit applications from persons in the market area needing special outreach. They still need also notify the applicable Housing Authority of any units which become available.
 - G. To determine if good faith efforts have been made by applicant/owners regarding affirmative marketing, the Consortium will require applicant/owners to maintain records of potential tenants with regard to sex, age, race, handicap or familiar status and method by which they were referred. This information will be reviewed on an annual basis at the time of the annual inspection. If the Consortium finds that the required actions have been carried out as specified, it will assume that good faith efforts have been made.
 - H. To determine the results of affirmative marketing efforts, the Consortium will examine those efforts in relation to whether or not persons from the variety of racial and ethnic groups have become tenants in our HOME assisted units. If the Consortium finds that a variety is represented, it will assume that owners have made good faith efforts to carry out the procedures.

- I. Technical assistance will be solicited from the Housing Specialist of the South Bend Human Rights Commission for improvements to these procedures.
- J. Any applicant/owner that does not comply with the policies and procedures set forth will have the following corrective action(s) taken against them:
 - (1) Any forgivable portion of the lien will not be forgiven and will be immediately due and payable.
 - (2) Landlords charged with complaints will have to appear before the South Bend Human Rights Commission and follow the normal course of actions.
 - (3) They will be denied future participation in the HOME program.

CITIZEN PARTICIPATION

The first Notice of Availability of the new 2009 application for CDBG, HOME & ESG funding was published by the St. Joseph County Housing Consortium in the South Bend Tribune June 9, 2008. Public hearings were held June 19, 2008 to explain the application process. One hearing was held in Mishawaka at 2:30 pm and one was held in South Bend at 5:30 pm. Pamela Meyer, CD Director for South Bend, opened the public hearings with an explanation of its purpose relative to the 2009 funding and application process for the CDBG, ESG and HOME programs. Ms. Meyer discussed South Bend's proposed change relative to reducing public services and redirecting those resources to neighborhood physical development activities. Ms. Meyer discussed the Neighborhood Revitalization activities and the role of the local community development corporations, the Near Northwest Neighborhood Association, South Bend Heritage Foundation and Northeast Neighborhood Organization as partners assisting the City with these efforts. She also discussed the abandoned structure issues in neighborhoods. Ms. Meyer stated that South Bend's CDBG and HOME funding would be impacted because of this neighborhood revitalization policy but that ESG funds would not be. In addition to the display ad in the Tribune, the 2009 application was mailed to potential sub-grantees that have participated in past grant programs, and any other nonprofit group that had expressed an interest in submitting an application for their project. Applications include a memo outlining the policy refocusing funds and reminding applicants of this second year in a three year process. Applications were due July 28, 2008. Letters to all CDBG applicants were mailed by September 22, 2008 regarding the proposed use of CDBG funds. A Consortium meeting was held September 3, to hear presentations from non profit agencies submitting HOME applications.

The public comment period started September 26, 2008, when the second display ad was published in the South Bend Tribune. The St. Joseph County Housing Consortium held two public hearings on October 9, 2008. One in Mishawaka at the City Hall at 2:30 pm and one in South Bend at 224 W. Jefferson Blvd. in the Trigon Building meeting room at 5:30 pm. The CDBG, ESG and HOME program funding process was explained.

SUMMARY OF PUBLIC HEARING COMMENTS

At the June 19, 2008 Mishawaka public hearing 8 people attended and asked questions about the possibility of changing the focus in South Bend from brick and mortar improvements back to public services. Questions were also asked about the City of Mishawaka's program and if they would be changing focus away from public services also. Ms. Meyer responded that the City of South Bend regarded all the public service programs as important and understood their benefit to the community. The policy and funding shift is an approach of using the funding for its inherent purpose related to revitalizing neighborhoods and trying to address the issue of vacant homes.

At the June 19, 2008 South Bend public hearing 8 people attended and asked general questions about the application process and eligibility of applications for housing counseling. The new focus for the City of South Bend toward bricks and mortar improvements refocusing

public services was questioned. Explanations were again given. The 2010 – 2015 Housing and Community Development Plan process was also discussed. Pamela Meyer stated that no schedule was yet in place but the plan would be completed in 2009 and there would be public hearings, giving the public an opportunity to comment and contribute to the process.

At the October 9, 2009 Mishawaka public hearing in Mishawaka, Mr. Kirby Falkenberg from the YMCA was the only attendee and spoke regarding their application for assistance for low/mod income youth enabling them to participate in the YMCA programs and the importance of these programs. Ms. Meyer responded that the City of South Bend also regarded these programs as important, but because of the continued reduction of funding and policy of shifting resources away from public services, staff could not recommend starting a new public service program at this time.

The October 9, 2009 South Bend public hearing was attended by one member of the public who was interested in learning about what programs were being recommended for funding. After the programs were outlined no questions were asked.

Letters were received from the Family and Children's Center and the Boys and Girls Club thanking the City of South Bend for their support and CDBG funding for their programs.

Council Meeting/Approval of 2009 Action Plan – November 10, 2008

The Community & Economic Development committee meeting of the South Bend Common Council held on 11/10/08 was for the purpose of reviewing three ordinances related to the Action Plan presented by Judy Rosheck, Project Analyst, Division of Community Development. The three included the ESG appropriation #66-08, and the CDBG appropriation which was in two ordinances # 65-08 and #67-08 as the later was reprogrammed dollars

The ESG ordinance #66-08 received Council support with no questions.

The CDBG ordinance, when discussed in committee, received a question from Martha Lewis. She asked that because the City has received additional funding from the Neighborhood Stabilization Program (NSP) couldn't the City move some of the CDBG funding to neighborhoods? Mr. Jeff Gibney, Director of Community and Economic Development, responded that because of the restrictions on the NSP funding it should not be considered a replacement for CDBG funds.

The CDBG ordinances were sent to Council favorably.

All three ordinances offered for public hearing at the full Council meeting were approved.

EXECUTIVE SUMMARY

The St. Joseph County Housing Consortium in their 2005-2009 Housing and Community Development Plan listed Strategies to Promote Strong Neighborhood and Economic Viability in St. Joseph County. The categories listed are A. Housing, B. Social Services, C. Homelessness, and D. Other Needs.

The City of South Bend has allocated approximately \$1,565,000 or 58% of their total 2009 CDBG allocation for programs that support existing owner occupants or to assist first time homebuyers to become home owners in support of housing in the City of South Bend. The City of Mishawaka has allocated approximately \$324,000 or 64.8% of their 2009 CDBG funds for new curbs, sidewalks, streets in support of housing activities in addition to \$11,500 or 2.3% of their 2009 CDBG funds for owner occupied rehab and housing counseling programs.

The 2009 program also addressed the problem of vacant and abandoned housing in the Cities of South Bend and Mishawaka. Approximately \$500,000 or 16% of the cities of South Bend and Mishawaka total CDBG allocation will be used to demolish vacant, dilapidated homes that have become a blight on our neighborhoods.

The HOME Program has allocated another estimated \$1,140,000 for housing programs throughout St. Joseph County.

The ESG Program has allocated an estimated \$124,000 for emergency shelter activities.

The above programs address the goals listed in the 2005-2009 Housing and Community Development Plan and will be leveraged with private funding to increase the impact of federal dollars.

The City of South Bend anticipates receiving approximately \$2,700,000 in federal resources for CDBG and ESG in 2009. To maximize the benefits of this funding it is important to leverage these federal funds with "other" funds. For example in 2008 the City of South Bend leveraged \$732,275 in private mortgage funds with \$172,000 CDBG funds expended in the Community Homebuyers and REWARD Programs. The Rebuilding Together program leverages volunteer labor and donated materials with CDBG funding to work toward the goals outlined in their program.

ESG grant matching requirements will be satisfied using funds from other federal, state, and private dollars.

The St. Joseph County Housing Consortium anticipates receiving approximately \$1 million dollars in 2009. HOME funds will exceed the 25 percent match requirements through private funding and project sponsors.

The activity descriptions for the HOME and ESG programs have listed source and amount of match anticipated for each activity.

This page Revised 12/3/2008

HOUSING AND COMMUNITY DEVELOPMENT PLAN 2005 - 2009
Funding Sources
Program Year 2009

CITY OF SOUTH BEND	<u>CDBG</u>	<u>HOME</u>	<u>ESG</u>	<u>TOTALS</u>
Entitlement Grants	\$2,627,344	\$0	\$123,833	\$2,751,177
Reprogrammed Funds	495,000	0	0	495,000
Program Income - City/Consortium	100,000	0	0	100,000
Program Income - Not-for-profits	17,000	0	0	17,000
Unprogrammed	0	0	512	512
Section 108 Loan	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTALS	\$3,239,344	\$0	\$124,345	\$3,363,689

CITY OF MISHAWAKA	<u>CDBG</u>	<u>HOME</u>	<u>ESG</u>	<u>TOTALS</u>
Entitlement Grants	\$500,000	\$0	\$0	\$500,000
Reprogrammed Funds	0	0	0	0
Unobligated Funds	30,000	0	0	30,000
Program Income - City/Consortium	70,523	0	0	70,523
Program Income - Not-for-profits	0	0	0	0
Section 108 Loan	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTALS	\$600,523	\$0	\$0	\$600,523

ST. JOSEPH COUNTY HOUSING CONSORTIUM	<u>CDBG</u>	<u>HOME</u>	<u>ESG</u>	<u>TOTALS</u>
Entitlement Grants	\$0	\$1,062,221	\$0	\$1,062,221
Reprogrammed Funds	0	24,750	0	24,750
Program Income - City/Consortium	0	45,000	0	45,000
American Dream Downpayment Initiative	0	8,121	0	8,121
Unprogrammed	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTALS	\$0	\$1,140,092	\$0	\$1,140,092

12/15/2008

15-Dec-08	HCD Applications for Program Year 2009							
	St. Joseph County Housing Consortium		South Bend CDBG					
			Yr 2009 CDBG Entitlement amount	\$2,627,344	Assumes 5% cut from 2008 amount			
			Reprogrammable funds	495,000				
			Section 108 Loan	0				
		Admin subject to 20% cap: \$548,868.80	Program income	117,000				
		Public Services subject to 15% cap: \$426,651.60	Total available for yr 2009	\$3,239,344				
Application #	Agency	Project	24 CFR Eligibility	Benefit	Requests for 2009	2008 Allocations	2009 Recommendations	
	South Bend CDBG							
6	Department of Community & Economic Development	General Admin C&ED	570.205 & 570.206	Presumed	300,000	321,999	300,000	2008 Allocations
	Downtown South Bend, Inc.	Parking Lot Program Income	570.206	Presumed		17,000	17,000	\$590,294
40	Near Northwest Neighborhood, Inc	NNN Admin	570.206	Presumed	125,000	90,400	80,400	
18	South Bend Heritage Foundation	General Admin	570.206	Presumed	\$160,000	\$150,895	140,895	Admin
20	South Bend Human Rights Commission	Affirmative Fair Housing Activities	570.206(c)	Presumed	60,000	10,000	7,000	\$545,295
34	Boys & Girls Club	Youth Development	570.201(e)	Low/mod Limited clientele	65,000	28,735	21,551	
2	Community Coordinated Child Care (4Cs)	Child Care Subsidy Program	570.201(e)	Low/mod Limited clientele	51,610	44,535	33,401	
35	FCC Counseling & Development Services	FCC Therapeutic Services (formerly NorthStar)	570.201(e)	Low/mod Limited clientele	60,000	32,325	24,244	2008 Allocations
43	Apostolic Outreach Ministries, Inc.	Community Food Outreach Program	570.201(e)	Low/mod Limited clientele	26,000	0	0	\$310,415
11	La Casa De Amistad	LaCasa de Amistad: Community Outreach Services	570.201(e)	Low/mod Limited clientele	40,000	20,000	15,000	
8	REAL Services	Older Adult Crime Victim Program	570.201(e)	Low/mod Limited clientele	15,000	8,620	6,465	
7	REAL Services	Adult Guardianship Program	570.201(e)	Low/mod Limited clientele	23,224	8,620	6,465	
33	Family Justice Center of St. Joseph County	Family and supportive Services Program	570.201(e)	Low/mod Limited clientele	40,000	0	0	
44	South Bend Police Department	Neighborhood Watch Program	570.201(e)	Low/mod Area Benefit	40,000	28,735	21,551	
1	South Bend Police Department	Neighborhood Action Reclamation Patrols // Foot-Bike Pat	570.201(e)	Low/mod Area Benefit	90,000	90,000	67,500	
30	Stone soup Community	Stone Soup Community	570.201(e)	Low/mod Limited clientele	13,000	0	0	
25	YMCA	YMCA Healthy South Bend Kids	570.201(e)	Low/mod Limited clientele	21,700	0	0	Public Services
4	YWCA	Educational Support Services	570.201(e)	Low/mod Limited clientele	71,000	48,845	36,634	\$232,811
9	Life Treatment Centers	Renovation of our halfway house	570.201(c)	Low/mod Limited clientele	24,610	0	0	
27	Real Services	Regional Kitchen for Independent Living	570.201(c)	low/mod limited clientele	200,000	0	0	
28	Community Homebuyers Corporation	Forgivable Second Mortgage	570.201(n)	Low/mod Housing	300,000	300,000	300,000	
42	Department of Code Enforcement	Vacant & Abandoned Building Program	570.201(a)(d)	elimination of slums/blight	400,000	450,000	350,000	2008 Allocations
6	Division of Community Development	Activity Delivery costs (includes Housing Counseling)	570.201(o) & 570.202(b)(9)	Low/mod Housing	147,900	176,800	147,900	\$2,018,102
41	Department of Code Enforcement	Demolition vacant/Abandoned homes in NRSA	570.201(a)(d)	elimination of slums/blight	200,000	0	126,000	
43	Apostolic Outreach Ministries, Inc.	rehab of building	570.201(c)	Low/mod Area Benefit	10,000	0	0	
22	Division of Community Development - Housing	South Bend Home Improvement Program	570.202(b)(2)	Low/mod Housing	470,000	469,365	470,000	
24	Habitat for Humanity	2009 Cleveland Rehabilitation	570.202(b)(2)	Low/mod Housing	40,000	0	40,000	
31	Housing Development Corporation	REWARD Program	570.201(n)	Low/mod Housing	40,000	40,000	40,000	
39	Near Northwest Neighborhood, Inc	Community Space	570.201(c)	Low/mod Area Benefit	93,000	150,000	93,000	
37	Near Northwest Neighborhood, Inc	Program Delivery	570.202(b)(9)	Low/mod Housing	30,000	0	30,000	
38	Near Northwest Neighborhood, Inc	Revitalization Program	570.201(a)(d)(i)	Low/mod Housing	732,375	0	340,625	
32	St. Joseph County Health Department	Lead Hazard Assistance for Families w/lead poisoned Child	570.202(f)	Low/mod Housing	18,000	0	0	
5	Rebuilding Together	Rebuilding Together /River Park Neighborhood	570.202(b)(2)	Low/mod Housing	125,000	80,000	125,000	
15	South Bend Heritage Foundation	Washington Dunbar rental homes rehabilitation	570.202(a)	Low/mod Housing	250,000	0	250,000	
16	South Bend Heritage Foundation	Colfax Campus Improvements	570.201(c)	low/mod Area Benefit	56,250	150,000	56,250	
17	South Bend Heritage Foundation/NWS	Near West Side Neighborhood Acquisition & Sub Rehabilit	570.201(a)(d) & 570.202.(b)(1)	Low/mod Housing	140,625	201,937	0	
12	South Bend Heritage Foundation/NNRO	Program Delivery	570.202(b)(9)	Low/mod Housing	75,000	0	75,000	All Others
				2008 Allocations		\$2,918,811		\$2,443,775
			Total South Bend CDBG	2009 Totals	\$4,554,294	Total	\$3,221,881	
						Bal. to allocate	\$17,463	

			Mishawaka CDBG					
			Yr 2009 CDBG Entitlement amount	\$500,000.00				
			PY 2008 Remaining Funds	\$30,000.00				
		Admin subject to 20% cap:	114,105	Section 108 Loan	\$0.00			
		Public Services subject to 15% cap:	85,578	Program Income (CDBG & MP)	\$70,523.00			
					\$600,523.00			
App #	Agency	Project	24 CFR Eligibility	Benefit	Requests for 2009	2008 Allocations	2009 Allocations	
	Mishawaka CDBG							
	City of Mishawaka -Redevelopment	Administration	570.206(a)	Presumed	\$ 95,500	\$ 110,500	\$ 95,500	Admin Allocation
	South Bend Human Rights Commission	Fair Housing Workshop	570.206(c)	Presumed	\$ 2,000	\$ 2,000	\$ 2,000	
	SB Dept. of Community & Economic Development	South Bend Admin/Planning & Support	570.205(a)(6)	Presumed	\$ 5,000	\$ 5,000	\$ 5,000	\$ 107,500
	South Bend Human Rights Commission	Affirmative Fair Housisng	570.206(c)	Presumed	\$ 5,000	\$ -	\$ 5,000	
26	YMCA	Before & After School Experience	570.201 (e)	Low-Mod - limited clientele	\$ 39,900	\$ 3,000	\$ 7,000	
4	YWCA	Education & Support Services	570.201 (e)	Low-Mod - limited clientele	\$ 6,400	\$ -	\$ -	
35	FCC Counseling & Development Services	Family & Children's Center Mishawaka Services	570.201 (e)	Low-Mod - limited clientele	\$ 40,000	\$ 9,500	\$ 9,500	
8	Real Services	Older Adult Crime Victim Program	570.201 (e)	Low-Mod - limited clientele	\$ 6,155	\$ 5,000	\$ 5,000	
7	Real Services	Adult Guardianship Program	570.201(e)	Low-Mod - limited clientele	\$ 9,500	\$ 5,000	\$ 5,000	
27	Real Services	Regional Kitchen for Independent Living	570.201(c)	Low-Mod - limited clientele	\$ 100,000	\$ -	\$ 4,500	
34	Boys and Girls Clubs	Boys & Girls Club After School Programs	570.201 (e)	Low-Mod - limited clientele	\$ 27,000	\$ 20,000	\$ 20,000	Pub Serv Allocation
56	Reins of Life	Reins of Life Rider Scholarship	570.201 (e)	Low-Mod - limited clientele	\$ 5,040	\$ -	\$ -	
57	The Bridge @ Studeo 315	Family Development, Food Pantry	570.201(e)	Low-Mod - limited clientele	\$ 26,000	\$ -	\$ -	
54	Home Management Resources, Inc.	Family Life Seminar for 1st Time Homebuyers	570.201 (e)	Low-Mod - limited clientele	\$ 4,500	\$ 4,500	\$ 4,500	\$ 60,000
33	Family Justice Center	Family and Supportive Services Program	570.201(e)	Low-Mod - limited clientele	\$ 20,000	\$ -	\$ -	
	Food Bank of Northern Indiana	Mobile Food Pantry	570.201(e)	Low-Mod - limited clientele	\$ -	\$ 4,000	\$ -	
30	Stone Soup Community	Family Development	570.201(e)	Low-Mod - limited clientele	\$ 13,000	\$ 15,000	\$ 4,500	
45	Center for the Homeless	Self-Sufficiency	570.201(e)	Low-Mod - limited clientele	\$ 20,000	\$ -	\$ -	
52	City of Mishawaka -Redevelopment	Spot Blight Elimination Program	570.201 (d)	Low-Mod - limited clientele	\$ 32,623	\$ 71,500	\$ 32,023	
48	City of Mishawaka -Redevelopment	Public Infrastructure (curbs, streets, sidewalks)	570.201(c)	Low-Mod - limited clientele	\$ 324,000	\$ 382,500	\$ 324,000	
51	City of Mishawaka -Redevelopment	Owner-Occupied Rehabilitation Program	570.202 (a)(1)	Low-Mod - limited clientele	\$ 7,000	\$ 7,000	\$ 7,000	Other Allocation
50	City of Mishawaka -Redevelopment	Code Enforcement	570.202(c)	Low-Mod - limited clientele	\$ 35,000	\$ 20,000	\$ 35,000	
49	City of Mishawaka-Redevelopment	Self-Sufficiency Homeownership Program	570.201(n)	Low-Mod - limited clientele	\$ 7,000	\$ 7,000	\$ 7,000	\$ 433,023
53	City of Mishawaka-Redevelopment	Summer of Service Program	570.202(b)(2)	Low-Mod - limited clientele	\$ 28,000	\$ 21,000	\$ 28,000	
	TOTALS		Total Mishawaka CDBG		\$858,618	\$ 692,500	\$ 600,523	

In general, input only in highlighted cells
 ST. JOSEPH COUNTY HOUSING CONSORTIUM
 HOME PROGRAM
 PROJECTIONS FOR HCD 2009 ACTION PLAN
 8-Aug With Recor ecommendations approved 9/17/08

SOURCES		actual award amount
2009 Entitlement amount (assume 0%) increase	\$1,062,221	
2009 American Dream DownPayment Initiative	8,121	
Anticipated Consortium Program Income	45,000	
Unprogrammed from previous years	0	
Funds to be reprogrammed from prior years	24,750	
Total Sources	\$1,140,092	

ENTITLEMENT *		UNPROGRAMMED
South Bend allocation (57.7% of entitlement)	617,587	0
Mishawaka allocation (18.8% of entitlement)	201,224	0
County remainder allocation (8.50% of entitlement)	90,979	0
CHDO set-aside (15% of entitlement)	160,551	0
	\$1,070,342	\$0

*2009 percentages from www.hud.gov/offices/cpd/affordablehousing/programs/home/consortia/

	FUNDS REQUESTED	RECOMMENDATIONS
SOUTH BEND		
SBHF Near West Acquisition & Rehab	300,000	286,087
SBHF Near West Side mortgage subsidies	0	0
SBHF/NNRO East side mortgage subsidies	236,250	228,250
SBHF/NNRO Triangle mortgage subsidies	0	0
SBHF/NNRO East side Acquisition & Rehab	0	0
NNN mortgage subsidies	0	0
NNN Acquisition & Rehab	715,000	
Habitat for Humanity new construction	168,000	84,000
Madison Center Rental Assistance	0	5,000
URC/Grandparents as Parents	0	0
Consortium Admin	22,500	22,500
HAO S.B. New Construction Mortgages subsidies	0	0
unallocated	0	0
South Bend total	\$1,441,750	\$625,837
MISHAWAKA		
First Time Homebuyer program	326,000	243,224
Madison Center Rental Assistance	5,000	0
Consortium admin	11,250	11,250
Habitat for Humanity mortgage subsidies	0	0
unallocated	0	0
Mishawaka total	\$342,250	\$254,474

COUNTY

Habitat for Humanity new construction	0	15,000
HAO Owner occupied rehab	210,000	72,979
Madison Center Rental Assistance	5,000	0
Consortium Admin	11,250	11,250
unallocated	0	0
County Total	\$226,250	\$99,229

CHDO

Near Northwest Neighborhood Inc.	total	160,551
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GRAND TOTAL	\$2,010,250	\$1,140,092
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PROGRAM INCOME	REPROGRAMMED	TOTAL AVAILABLE
0	8,250	625,837 South Bend
45,000	8,250	254,474 Mishawaka
0	8,250	99,229 County
0	0	160,551 CHDO
\$45,000	\$24,750	\$1,140,092

balances	Reprogramming Sources:
	South Bend
	Mishawaka 0
	County 0
	ALL 0
	HO 05-890 Impediments to Fair Hous 24,750
	24,750
	total 24,750



Summary of Specific Annual Objectives

Table 3A

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed	
EO-3 Sustainability of Economic Opportunity								
EO-3 (2)	Specific Objective - Assist Low/Mod Households in Securing Child Care (Strategy B 2 in original 2005-2009 HCD Plan) - Community Coordinated Child Care. -Reported through July 2008 - LaCasa and Community Coordinated Child Care..	CDBG	# children assisted					
				2007	41	54	132%	
				2008	41	17	41%	
				2009	21			
				MULTI-YEAR GOAL		103	71	69%
	Specific Annual Objective - Provide child care stipends							
					MULTI-YEAR GOAL		0	
					MULTI-YEAR GOAL			0

Activity Description
City of South Bend 2009 CDBG Program year
Application #1

Submitting Organization: South Bend Police Department

Subject Title: Neighborhood Action Reclamation Patrols

Description: To continue to provide additional police presence in the Neighborhood Revitalization Areas (NRA) and other low/mod income neighborhoods. Uniformed officers on foot or bikes patrol small assigned areas in an effort to reduce crime, disorderly behaviors and other problems which reduce the quality of life for the neighborhood residents. The proposed project will pay for approximately 3,500 hours of overtime.

Budget: 2009 requested: \$90,000
2008 approved: \$90,000

Staff recommendation:\$67,500.00

Timetable: from April to November, or until the weather becomes too harsh for walking.

Eligibility: 24 CFR 570.201 (e) *Public Services*. AProvision of public services (including labor, supplies and materials) which are directed toward improving the community=s public services and facilities, including but not limited to those concerning...crime prevention....@

Benefit: 24 CFR 570.208 (a) (1) *Area benefit activities*. (I). AAn activity, the benefits of which are available to all the residents in a particular area, where at least 51 percent of the residents are low and moderate income persons.....@

Matrix Code: O5I – Crime awareness/prevention

Outcome Performance Measurement:

Objective: #1 – Create a suitable living environment. This project relates to activities that are designed to benefit communities by addressing issues in their living environment such as crime prevention.

Outcome: #3 – Promoting Livable or Viable communities. This project is aimed at improving low income neighborhoods by providing police presence to reduce crime.

Compatibility with HDC Plan: B. Social Services, Strategy #7 Increase public safety/Awareness.

Service Area: Neighborhood Revitalization Area (NRA) and other low/mod income neighborhoods as identified by census data.

Goals of Project: 1.) To reduce incidents of crime and the level of fear among residents within the NRA, 2) to provide an atmosphere of order and safety, 3) to make officers easily assessable to residents, 4) to deter and displace criminal presence and activity in NRA neighborhoods, 5) to increase communication between police officers and City residents, 6) to provide information and complaint referrals to other City agencies.

Environmental Assessment: Exempt, 24 CFR 58.34(a)(4)

Other Reviews:

Davis Bacon: No

Relocation: No

One for One Replacement Housing: No

Job Creation: No

Activity Description
City of South Bend 2009 CDBG Program year
Application #2

SUBMITTING ORGANIZATION: Community Coordinated Child Care, Inc. (CDBG)

PROJECT TITLE: Child Care Subsidy

DESCRIPTION: Provide child care subsidies so parents can stay employed, go to school, and stay off welfare

BUDGET: 2009 requested: \$51,610
2008 approved: \$44,535

Staff Recommended: \$33,401

TIMETABLE: Ongoing throughout year.

ELIGIBILITY: **24 CFR 570.201** (e) *Public services.* AProvision of public services (including labor, supplies and materials) which are directed toward improving the community=s public services and facilities, including but not limited to those concerning . . . child care, . . .@

BENEFIT:**24 CFR 570.208** (a) (2) *Limited clientele activities.* (B) ARequire information on family size and income so that it is evident that at least 51 percent of the clientele are persons whose family income does not exceed the low and moderate income limit; . . .@

Matrix Code: O5L – Child Care Services

OUTCOME PERFORMANCE MEASUREMENT:

Objective: #1 – Creates a suitable living environment. This program is designed to benefit families by addressing such as child care.

Outcome: #2 – Improve affordability, providing a child care service.

COMPATIBILITY with HDC Plan: B. Social Services, Strategy #2: Assist low/mod households in securing child care.

SERVICE AREA: Day Care Centers involved:

- 1) Children=s Center, 333 West Colfax
- 2) El Campito Day Care, 1024 West Thomas

GOALS OF PROJECT:

Provide 6 children with 2,640 days of child care.

ENVIRONMENTAL ASSESSMENT: Exempt, 24 CFR 58.34 (a)(9)

OTHER REVIEWS:

Davis-Bacon: No.

One for One Replacement Hsg: No

Relocation: No.

Job Creation: No

Note: This program will support the City’s requirement to follow Section 3 requirements by assisting parents with child care costs while they work or go to school.

Activity Description
Emergency Shelter Grant 2009 Program Year
Application #3

APPLICANT: YWCA of St. Joseph County

PROJECT TITLE: Women's Shelter for Domestic Violence

DESCRIPTION: The Women's Shelter provides emergency shelter for women and their children fleeing domestic violence. In addition, the shelter provides counseling, domestic violence education, case management, support groups, and advocacy services. 2009 ESG funds are requested for operations expenses.

2009 Funds Recommended: \$44,972.00

2008 Funds Recommended: \$44,844.00

TIMETABLE: All funds expected to be used in 2009

ELIGIBILITY: **24 CFR 576.21(b)(1)** Grant amounts provided by HUD to units of general local government...may be used to provide an essential service under paragraph (a)(2) of this section only if the service is a new service, or is a quantifiable increase in the level of a service above that which the unit of general local government (or...), as applicable, provided with local funds during the 12 calendar months immediately before the grantee...received initial grant amounts.@

24 CFR 576.21(a)(3) Payment for shelter maintenance, operation, rent, repairs, security, fuel, equipment, insurance, utilities, food and furnishings. Not more than 10 percent of the grant amount may be used for costs of staff.@

Matrix Code: 05G – Services for Battered and Abused Spouses.

OUTCOME PERFORMANCE MEASUREMENT:

Objective #1 Creates a suitable living environment **Outcome:** Improve Availability/Accessibility

SERVICE AREA: City of South Bend

GOALS OF PROJECT(A.K.A. Performance Measures, Outcome Measures & Performance Indicators):: Women and children will obtain emergency shelter and provisions in a safe, supportive environment. 650 victims of domestic violence will be served

CONSISTENCY WITH HCD PLAN: Section "C" Homelessness, strategy #4 Emergency Shelter

MATCH FUNDING: \$48,000.00 United Way, Fundraising.

PROGRAM INCOME: No

ENVIRONMENTAL REVIEW: Exempt

OTHER REVIEWS:

Davis-Bacon:	N/A	Relocation:	N/A
Job Creation:	N/A	One-for-One Replacement:	N/A

Activity Description
City of South Bend 2009 CDBG Program year
Application #4

Submitting Organization: YWCA of St. Joseph County

Subject Title: YWCA Educational Support Services

Description: To provide community based educational groups. The majority of these groups will take place at the YWCA=s main facility, 1102 S. Fellows St. South Bend with outside groups being provided at the Juvenile Justice Center.

Budget: 2009 requested: \$71,000 Staff recommendation: \$36,634
 2008 approved: \$48,845

Timetable: Ongoing throughout the year.

Eligibility: **24 CFR 570.201** (e) *Public Services.* AProvision of public services (including labor, supplies and materials) which are directed toward improving the community=s public services and facilities, including but not limited to those concerning...health..drug abuse..welfare...@

Benefit: **24 CFR 570.208** (a) (2) Limited clientele activities. (B) ARequire information on family size and income so that it is evident that at least 51 percent of the clientele are persons whose family income does not exceed the low and moderate income limit;@

Matrix Code: 05F – Substance abuse Services

Outcome Performance Measurement:

Objective: #1 – Create a suitable living environment. This project relates to activities that are designed to benefit communities by addressing issues in their living environment such as social issues.

Outcome: #1 Improve Availability/accessibility– to make services or shelters available or accessible to low/moderate income people, also making the affordable basics of daily living available and accessible.

Compatibility with HDC Plan: C. Homelessness, Strategy #2: Supportive Services

Service Area: City of South Bend.

Goals of Project: Two hundred seventy-five (275) women and 350 youth will receive education and services.

Environmental Assessment: Exempt, 24 CFR 58.34(a)(4)

Other Reviews:

Davis Bacon: No

Relocation: No

One for One Replacement Hsg: No

Job Creation: No

Activity Description
City of South Bend 2009 CDBG Program year
Application #5

SUBMITTING ORGANIZATION: Rebuilding Together, St. Joseph County

PROJECT TITLE: Rebuilding Together, St. Joseph County

DESCRIPTION: Provides funds for the purchase of materials for this volunteer-based rehab program for low and moderate income, especially elderly and handicapped residents of South Bend. RT focuses on one neighborhood each year, depending on need and rate of homeownership. In 2009 RT will be operating in the River Park Neighborhood. The area is located west of Logan Street, north of Mishawaka Avenue, east of Ironwood Drive and south of the railroad tracks along Jefferson Blvd.

BUDGET: 2009 requested: \$125,000 Staff recommended: \$125,000
 2008 approved: \$80,000

TIMETABLE:

July - Oct 2008:	Solicit applicants; process applications; inspect homes; prepare material lists
Nov 08 - March 09:	Finalize applicants and preparations
April 09:	Implement project
May 09	Project follow-up: monitor successful completion of work performed

ELIGIBILITY: 24 CFR 570.202 Eligible rehabilitation and preservation activities. (b) *Types of assistance.* ACDBG funds may be used to finance the following types of rehabilitation activities . . . (2) Labor, materials, and other costs of rehabilitation of properties . . .@

BENEFIT: 24 CFR 570.208 (a) *Activities benefiting low and moderate income persons.* (3) *Housing activities.* AAn eligible activity carried out for the purpose of providing or improving permanent residential structures which, upon completion, will be occupied by low and moderate income households.@

Matrix Code: 14A – Rehab. Single unit residential

COMPATIBILITY with HDC Plan: A. Housing – Strategy #1: supporting existing homeowners.

Outcome Performance Measurement:

Objective: #2 – Housing programs where the purpose of the program is to meet individual or family needs.

Outcome: #1 – Improve availability/accessibility , making the affordable basics of daily living available & accessible to low/moderate income people where they live.

SERVICE AREA: River Park Neighborhood. (See boundaries in top paragraph.)

GOALS OF PROJECT: Target 25 homes to be rehabbed.

ENVIRONMENTAL ASSESSMENT: Categorically excluded, 24 CFR 58.35 (a) (4)

OTHER REVIEWS:

Davis-Bacon: No.

Relocation: No.

One for One Replacement: No

Job Creation: No

Activity Description
City of South Bend 2009 CDBG Program year
Application #6

Submitting Organization: City of South Bend, Department of Community & Economic Development

Subject Title: Department of Community & Economic Development Administration

Description: *Planning Function:* Provides staff services needed to carry out various planning and neighborhood development programs.

Budget: 2009 requested: \$500,900.00 Total staff recommendation: \$447,900
 2008 approved: \$497,800.00

:

The request includes administration costs for Community & Economic Development, Community Development, Program Delivery and Counseling for new homeowners.

Timetable: Ongoing throughout the year.

Planning function:

Eligibility: **24 CFR 570.205 Eligible Planning, urban environmental design and policy-planning-management-capacity building activities.** (a) (6) A Policy - planning - management capacity building activities which will enable the recipient to (1) determine its needs; (2) Set long-term goals and short-term objectives, ...@

Benefit: **24 CFR 570.200** (a) (1) Cost of administration and planning eligible under 570.205 and 570.206 will be assumed to benefit low and moderate income persons.@

Housing function:

Eligibility: **24 CFR 570.202 Eligible rehabilitation and preservation activities.** (b) *Types of assistance.* ACDBG funds may be used to finance the following types of rehabilitation activities... (9) Rehab services, such as ...preparation of work specifications...inspections...@ And **570.201 (o)(1)(iii)** “.....counseling.....”@

Benefit: **24 CFR 570.208** (a) *Activities benefiting low and moderate income persons.* (3) *Housing activities.* AAn eligible activity carried out for the purpose of providing or improving permanent residential structures which, upon completion, will be occupied by low and moderate income households.@

Matrix Code: 21 A – General program administration

Compatibility with HDC Plan: - D “Other Needs” Strategy #4 Administration and Planning support.
Housing A.1.1-4 and A.2.1-4

Service Area: City Wide

Goals of Project *Planning function:* Personnel and non-personnel costs associated with planning and neighborhood development programs. *Housing function:* See individual programs.

Environmental Assessment: Exempt, 24 CFR 58.34(a)(4)

Other Reviews:

Davis Bacon: No

One for One Replacement: No

Relocation: No

Job Creation: No

**ACTIVITY DESCRIPTION
(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 7**

SUBMITTING ORGANIZATION: *REAL Services, Inc.*

PROJECT TITLE: *REAL Services, Inc. Adult Guardianship Program*

DESCRIPTION: *To provide legal guardianship for individuals 60 years of age and older who have been found at risk because of physical, psychological or emotional incapacities and who also have no family or friends willing or capable of serving as the legal guardian.*

BUDGET: **2009 REQUESTED:** \$9,500 *Staff recommendation:* \$5,000
2008 APPROVED: \$5,000

TIMETABLE: *Ongoing throughout 2009*

ELIGIBILITY: *24 CFR 570.201 (e) Public Service. "Provision of public services (including labor, supplies and materials) which are directed toward improving the community's public services and facilities, including but not limited to those concerning...health...welfare."*

BENEFIT: *24 CFR 570.208 (a)(ii) Limited clientele activities. "Require information on family size and income so that it is evident that at least 51 percent of the clientele are persons whose family income does not exceed the low and moderate income limit;"*

MATRIX CODE: *05A – Senior Services*

OUTCOME *Objective #1: Creates a suitable living environment*
PERFORMANCE *Outcome #3: Improve sustainability, promoting livable/viable communities*
MEASUREMENT:

SERVICE AREA: *Mishawaka, Indiana*

COMPATIBILITY WITH HDC PLAN: *B. Social Services, Strategy # 3 Provide Financial/Physical Assistance for the Elderly and Strategy # 4 Give Family Advocacy and Support*

GOALS OF PROJECT (aka Performance Measures/Outcome Measures/Performance Indicators): *Continue serving 53 existing elderly clients; serve 22 new clients and resolve 4 failed living situations in 2009.*

ENVIRONMENTAL ASSESSMENT: *24 CFR 58.34 (a) (4) Exempt*

OTHER REVIEWS:

Davis-Bacon: *No*
Relocation: *No*
One for One Replacement Housing: *No*
Job Creation: *No*

Activity Description
City of South Bend 2009 CDBG Program year
Application #8

Submitting Organization: Real Services, Inc.

Subject Title: Older Adult Crime Victim Program

Description: To provide one on one support to senior residents who have been victims of a crime. Also, provides information and education on crime prevention. Services provided include the coordination of social services, crisis intervention and criminal justice support.

Budget: 2009 requested: \$15,000 Staff recommendation: \$6,465
 2008 approved: \$8,620

Timetable: Ongoing throughout the year.

Eligibility: 24 CFR 570.201 (e) *Public Services.* A provision of public services (including labor, supplies and materials) which are directed toward improving the community's public services and facilities, including but not limited to those concerning...counseling...crime prevention...@

Benefit: 24 CFR 570.208 (a) (2) Limited clientele activities. (A) A benefit a clientele who are generally presumed to be principally low and moderate income persons. Activity that exclusively serve a group of persons in any one or a combination of persons in any one or a combination of the following categories may be presumed to benefit persons, 51 percent of whom are low- and moderate income: elderly persons....

Matrix Code: 05A – Senior Services

Outcome Performance Measurement:

Objective: #1 – Creates a suitable living environment by addressing issues such as crime prevention and counseling.

Outcome: #3 – Improve sustainability by promoting livable communities by providing benefit to persons of low/moderate income that have been a victim of crime.

Compatibility with HDC Plan: B. Social Services, Strategy 3 Provide Financial/Physical Assistance for the elderly and Strategy 7 – Increase Public Safety Awareness.

Service Area: City wide.

Goals of Project (aka Performance Measures/Outcome Measures/Performance Indicators):

Establish contact with 1000 clients, Provide presentations on senior crime prevention. Complete home security assessments, and distribute written materials at community centers. Coordinate with Adult Protective Services to insure greater safety of at risk adults and coordinate with South Bend Police Crime Prevention Unit in efforts to strengthen and stabilize neighborhoods.

Environmental Assessment: Exempt, 24 CFR 58.34(a)(4)

Other Reviews:

Davis Bacon: No

Relocation: No

One for One Replacement Hsg: No

Job Creation: No

Activity Description
HOME 2009 PROGRAM YEAR
Application No. 10

APPLICANT: Housing Assistance Office, Inc.

PROJECT TITLE: St. Joseph County Owner-Occupied Rehabilitation

DESCRIPTION: Grants of \$5,000 or less for low/mod income homeowners in rural St. Joseph County for improvements to the energy efficiency & safety of their homes.

2009 Funds Recommended: \$72,979.00

2008 Funds Requested: 0.00

PROGRAM DELIVERY/DEVELOPER FEE: 12.5% OF ELIGIBLE EXPENSES

TIMETABLE: all funds expected to be used in 2009

ELIGIBILITY: 24 CFR 92.205(a)(1)

Home funds may be used by participating jurisdiction to provide incentives to develop and support affordable rental housing and homeownership affordability through the acquisition (including assistance to home buyers), new construction, reconstruction, or moderate or substantial rehabilitation of non-luxury housing with suitable amenities, including real property acquisition, site improvements, conversion, demolition, and other expenses, including financing costs, relocation expenses of any displaced persons, families, businesses, or organizations, to provide tenant-based rental assistance, including security deposits; to provide for the payment of reasonable administrative and planning costs; and to provide for the payment of operating expenses of CHDOs. The housing must be permanent or transitional housing, and includes permanent housing for disabled homeless persons, and single-room occupancy housing. The specific eligible costs for these activities are set forth in 24 CFR 92.206-209.

Matrix Code: 14A – Rehab, Single unit residential

SERVICE AREA: Rural areas of St. Joseph County, outside of South Bend and Mishawaka.

GOALS OF PROJECT: To provide renovation funds to improve the energy efficiency & safety of 35 homes.

OUTCOME PERFORMANCE MEASUREMENT:

OBJECTIVE: Decent housing

OUTCOME: Improve affordability

CONSISTENCY WITH HCD PLAN: Housing section “A” strategy #1

MATCH FUNDING: Banked match

PROGRAM INCOME: This project will not produce any direct income.

ENVIRONMENTAL REVIEW: Categorically excluded, needs Environmental Checklist and Release of funds.

OTHER REVIEWS:

Davis-Bacon:	N/A
Relocation:	N/A
Job Creation:	N/A
One-for-One Replacement:	N/A

Activity Description
City of South Bend 2009 CDBG Program year
Application #12

Submitting Organization: South Bend Heritage Foundation on behalf of the Northeast Neighborhood Revitalization Organization (NNRO)

Subject Title: Program Delivery Activity

Description: Funding to be used for program delivery costs in support of housing activities in the northeast neighborhood. These costs include, but are not limited to: Staff and overhead costs directly related to carrying out the project, such as work specifications preparation, loan processing inspections, and other services related to assisting potential owners, tenants and homebuyers.

Budget: 2009 requested: \$75,000.00 Staff recommendation: \$75,000
 2008 approved: \$0

Timetable: Ongoing throughout the year.

Eligibility: 24 CFR 570.201 & 570.202 Eligible program delivery activities necessary to carry out activities eligible under 24 CFR 570.201 and 570.202. Including Housing services 570.201(k) support of activities eligible for funding under the HOME program, 24 CFR 92.206 (d) related soft costs.

Benefit: 24 CFR 570.200 (a) (1) Cost of carrying out the activities eligible under 570.201 and 570.202 will benefit low and moderate income persons.@

Matrix Code: 14H – Rehab administration

Outcome Performance Measurement:

Objective: #1 – Creates a suitable living environment. This objective relates to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment.

Outcome: #3 – Improve sustainability by promoting livable or viable communities by providing benefit to persons of low/mod income.

Compatibility with HDC Plan: A. “Housing” Strategy #1, 2, and 3. Program delivery costs to support the activities of Supporting existing homeowners, Helping renters become and remain homeowners and Promote quality rental properties.

Service Area: Near Northeast Neighborhood (CT 10, 11, 12)

Goals of the Project (aka Performance Measures/Outcome Measures/Performance Indicators):
To successfully complete the proposed CDBG and HOME program projects.

Environmental Assessment: Exempt, 24 CFR 58.34(a)(4)

Other Reviews:

Davis Bacon: No

Relocation: No

One for One Replacement Hsg: No

Job Creation: No

Activity Description
HOME 2009 Program Year
Application #13

APPLICANT: South Bend Heritage Foundation on behalf of Northeast Neighborhood Revitalization Organization

PROJECT TITLE: Northeast Neighborhood Mortgage Subsidies Project

DESCRIPTION: To provide mortgage subsidies for newly constructed homes in the Northeast neighborhood of South Bend.

2009 Funds Recommended: \$228,250.00

2008 Funds Allocated: 0.00

PROGRAM DELIVERY AMOUNT REQUESTED: \$26,250.00 12.5%

TIMETABLE: Construction is expected to begin late Spring/early summer of 2009.

ELIGIBILITY: 24 CFR 92.205(a) (1)

Home funds may be used by participating jurisdiction to provide incentives to develop and support affordable rental housing and homeownership affordability through the acquisition (including assistance to home buyers), new construction, reconstruction, or moderate or substantial rehabilitation of non-luxury housing with suitable amenities, including real property acquisition, site improvements, conversion, demolition, and other expenses, including financing costs, relocation expenses of any displaced persons, families, businesses, or organizations, to provide tenant-based rental assistance, including security deposits; to provide for the payment of reasonable administrative and planning costs; and to provide for the payment of operating expenses of CHDOs. The housing must be permanent or transitional housing, and includes permanent housing for disabled homeless persons, and single-room occupancy housing. The specific eligible costs for these activities are set forth in 24 CFR 92.206 through 209.

Matrix Code: 13 – Direct homeownership assistance.

SERVICE AREA: Northeast Side Neighborhood, City of South Bend, Census Tract 10

GOALS OF PROJECT (A.K.A. Performance Measures, Outcome Measures & Performance Indicators): To provide six (6) mortgage subsidies for newly constructed houses sold to low-to-moderate income families.

OUTCOME PERFORMANCE MEASUREMENT:

OBJECTIVE: #2, decent housing

OUTCOME: improve affordability

MATCH FUNDING: tax abatements

PROGRAM INCOME: The funds from this program will not produce direct income.

ENVIRONMENTAL REVIEW: Categorically Excluded, does not need a checklist or Release of funds

OTHER REVIEWS:

Davis-Bacon:	N/A
Relocation:	N/A
Job Creation:	N/A
One-for-One Replacement:	N/A

**HOME 2009 PROGRAM YEAR
ACTIVITY DESCRIPTION
APPLICATION NO. 14**

APPLICANT: South Bend Heritage Foundation

PROJECT TITLE: Near West Side Neighborhood Acquisition & Rehab

DESCRIPTION: This is a continuation of a neighborhood stabilization program to acquire and substantially rehabilitate substandard and/or abandoned single family homes, and sell them to low-to-moderate income home buyers.

2009 Funds Recommended: \$286,087.00

2008 Funds Requested: 0.00

PROGRAM DELIVERY/DEVELOPER FEE: 12.5% OF ELIGIBLE EXPENSES

TIMETABLE: All funds are expected to be used in 2009

ELIGIBILITY: 24 CFR 92.205(a)(1)

AHome funds may be used by participating jurisdiction to provide incentives to develop and support affordable rental housing and homeownership affordability through the acquisition (including assistance to home buyers), new construction, reconstruction, or moderate or substantial rehabilitation of non-luxury housing with suitable amenities, including real property acquisition, site improvements, conversion, demolition, and other expenses, including financing costs, relocation expenses of any displaced persons, families, businesses, or organizations, to provide tenant-based rental assistance, including security deposits; to provide for the payment of reasonable administrative and planning costs; and to provide for the payment of operating expenses of CHDOs. The housing must be permanent or transitional housing, and includes permanent housing for disabled homeless persons, and single-room occupancy housing. The specific eligible costs for these activities are set forth in 24 CFR 92.206 through 209.@

Matrix Code: 14G – Rehab, acquisition

SERVICE AREA: Near Westside Neighborhood, City of South Bend, census tract 20

GOALS OF PROJECT: To continue removing blighting influences on the neighborhood through the purchase of substandard properties and to create viable homeownership opportunities for two (2) low-to-moderate income families.

OUTCOME PERFORMANCE MEASUREMENT:

OBJECTIVE: #2, decent housing

OUTCOME: improve affordability

CONSISTENCY WITH HCD PLAN: Housing section “A”, strategy #2

MATCH FUNDING: Federal Tax Credits

PROGRAM INCOME: The funds from this project will not produce direct income.

ENVIRONMENTAL REVIEW: Categorically Excluded, needs Environmental Checklist and Release of Funds

OTHER REVIEWS: Davis-Bacon: N/A Job Creation: N/A
Relocation: N/A One for One Replacement Hsg: N/A

Activity Description
City of South Bend 2009 CDBG Program year
Application #15

SUBMITTING ORGANIZATION: South Bend Heritage Foundation, Inc.

PROJECT TITLE: Washington/Dunbar Homes Rehabilitation

DESCRIPTION: South Bend Heritage proposes a program of rehabilitation for the West Washington Homes, 1200 block of West Washington Street and historic Dunbar Corner, the corner of LaPorte and Colfax. These homes have been resyndicated into Washington/Dunbar Homes (WDH). The apartments at WDH consist of 1-1 bedroom apartment, 39- 2 bedroom apartments and 40- 3 bedroom apartments. The proposed improvements include new roof, insulation, windows, gutters & downspouts, furnace and air conditioning, electrical upgrade, plumbing repairs, paint, floor covering, cabinets, appliances as funding permits.

BUDGET: 2009 requested: \$250,000 Staff recommended: \$250,000
 2008 approved \$0

TIMETABLE: Rehabilitation will begin once the contract funds are awarded.

ELIGIBILITY: **24 CFR 570.202** *Eligible rehabilitation ...activities b (1) Assistance to private individuals and entities, including profit and nonprofit organization, to acquire for the purpose of rehabilitation, and to rehabilitate properties, for use or resale for residential purposes.*

BENEFIT: **24 CFR 570.208** *(a) Activities benefiting low and moderate income persons. (3) Housing activities. "An eligible activity carried out for the purpose of providing or improving permanent residential structures which, upon completion, will be occupied by low and moderate income households.*

Matrix Code: 14B – Multi unit residential

COMPATIBILITY with HDC Plan: A. Housing Strategy #3, Promote quality Rental Property.

SERVICE AREA: CT 19 (72.5% low/mod), CT 20 (84% low/mod)

GOALS OF PROJECT (AKA Performance Measures/Outcome Measures/Performance Indicators): Is to rehabilitate 80 rental units in the Near West Side neighborhood to provide another fifteen (15) years of safe, affordable housing.

ENVIRONMENTAL ASSESSMENT: Rehabilitation - Categorically excluded 24 CFR 58.35.

OTHER REVIEWS:

Davis-Bacon: Yes

Relocation: Yes if occupied.

One for One Replacement: No

Job Creation: No

Activity Description
City of South Bend 2009 CDBG Program year
Application #16

SUBMITTING ORGANIZATION: South Bend Heritage Foundation, Inc.

PROJECT TITLE: Colfax Campus Improvements

DESCRIPTION: This project will partially fund needed repairs for the Old Colfax School. SBHF set a goal of \$850,000.00 for repairs at the buildings at the Colfax Campus. To date they have raised over \$350,000 from national and local foundations and trusts. These funds have allowed SBHF to complete Studio 815 and install a new HVAC system in the old Colfax School. The requested funds are to install new bathroom fixtures, the existing fixtures were part of the school's 1930's renovations and some are not sized for adults. If funding is available the floors will be refinished.

BUDGET: 2009 requested: \$56,250 Staff recommended: \$56,250
 2008 approved \$150,000

TIMETABLE:

Once funding notification is given to SBHF, bidding for the work will commence. Then, when the City notifies SBHF that the funds are available, contracts will be "let" for the work to be accomplished.

ELIGIBILITY: 24 CFR 570.201 (c) **APublic facilities and improvements@**

BENEFIT: 24 CFR 570.208 (a) (1) Area Benefit activities. A(i) An activity, the benefits of which are available to all the residents in a particular area, where at least 51 percent of the residents are low and moderate income persons.....

Matrix Code: 03E – Neighborhood Facilities

Outcome Performance Measurement:

Objective: #1 – Create a suitable living environment. This project relates to activities that are designed to benefit communities by addressing issues in their living environment.

Outcome: #3 – Improve Sustainability: Promoting Livable or Viable communities.

COMPATIBILITY with HDC Plan: D. "Other Needs" Strategy #6 Public Facilities. Provide assistance to not-for-profit and/or governmental agencies to improve facilities for the provision of important community services.

SERVICE AREA: CT 19 (71.5% L/M) and CT6 (72% L/M) according to the 2000 U.S. Census of Population

GOALS OF PROJECT: To complete needed renovation and/or repairs at the old Colfax School so educational programs, meetings about neighborhood issues, and art exhibits can be continued.

ENVIRONMENTAL ASSESSMENT: Assessed 24 CFR 58.40

OTHER REVIEWS:

Davis-Bacon: Yes

Relocation: No

One for One Replacement Hsg: No.

Job Creation: No.

NOTE: The old Colfax School is not fully handicapped accessible. There is a "stair elevator" for access to the main floor. Research on ADA rules found at 24 CFR 8.20 General requirement concerning program accessibility, 24 CFR 8.21 non housing facilities (b) alterations to facilities. Alterations to existing non housing facilities shall, to the maximum extent feasible, be made to be readily accessible to and usable by individuals with handicaps.The maximum extent feasible shall not be interpreted as requiring that a recipient make a non-housing facility..... accessible if doing so would impose undue financial.....burdens on the operation of the recipient's program or

activity.

Activity Description
City of South Bend 2009 CDBG Program year
Application #18

Submitting Organization: South Bend Heritage Foundation

Subject Title: General Administration Support

Description: Funding to be used for general administrative support of South Bend Heritage Foundation.

Budget: 2009 requested: \$160,000.00 Staff recommendation: \$140,895.00
2008 approved: \$150,895.00

Timetable: Ongoing throughout the year.

Eligibility: **24 CFR 570.205 Eligible Planning, urban environmental design and policy-planning-management-capacity building activities.** (a) (6) A Policy - planning - management capacity building activities which will enable the recipient to (1) determine its needs; (2) Set long-term goals and short-term objectives,...

Benefit: **24 CFR 570.200** (a) (1) Cost of administration and planning eligible under 570.205 and 570.206 will be assumed to benefit low and moderate income persons.

Matrix Code: 21A – General program administration

Outcome Performance Measurement:

Objective: #1 – Creates a suitable living environment by addressing issues such as crime prevention and counseling.

Outcome: #3 – Improve sustainability by promoting livable communities by providing benefit to persons

Compatibility with HDC Plan: D. “Other” Needs. Strategy #3, Administration and Planning Support.

Service Area: Near Westside Neighborhood (CT 19 and 20) and NNRO (CT 10)

Goals of the Project (aka Performance Measures/Outcome Measures/Performance Indicators):

- 1.) Professional & Technical assistance to LaCasa.
- 2.) Professional & Technical involvement in the Near West Side neighborhood planning and development.
- 3.) Provide professional and technical assistance for the Studebaker National Museum and the Northern Indiana Center for History as their programs expand to include residents of the near West Side neighborhood, continued development and construction of the Engman Natatorium into the Indiana University South Bend Civil Rights Heritage Center and Museum; to the Northeast Neighborhood Revitalization Organization, including serving as the master developer of the “Triangle”; and to various other City and civic initiatives that expand opportunities for low and moderate income families throughout the City of South Bend.
- 4.) Management and continued expansion of the programs and buildings of the Colfax Campus – the Charles Martin Youth Center, the Colfax School, Studio Arts and Studio 815.
- 5.) Continued provision of professional assistance to the Near Northwest Neighborhood organization when requested.

South Bend Heritage Foundation (cont.)
Application #18

- 6.) Continuation of SBHF housing counseling program for low and/or moderate income families.
- 7.) Continued involvement with the West Washington Redevelopment Area acquisitions and demolitions, to enhance future development within the Area.
- 8.) Continued involvement with the Ray and Joan Kroc Corps Community Center of the Salvation Army of St. Joseph County.
- 9.) Continued involvement in the City's "\$1 House" initiative.
- 10.) Involvement in the planning and development of "Lincoln Park".
- 11.) Management and over-site of the rehabilitation of the West Washington Homes and Dunbar houses.
- 12.) Involvement with the University of Notre Dame in the adaptive use of historic Hansel Center as the new Center for Latino Studies and art studios.

Environmental Assessment: Exempt, 24 CFR 58.34(a)(4)

Other Reviews:

Davis Bacon: No

Relocation: No

One for One Replacement Hsg: No

Job Creation: No

Activity Description
Emergency Shelter Grant 2009 Program Year
Application # 19

APPLICANT: Youth Service Bureau of St. Joseph County, Inc.

PROJECT TITLE: Safe Station

DESCRIPTION: The primary purpose of the Safe Station program is to provide a safe place for runaway and homeless youth, to reunite families where possible, and to find safe living arrangements for youth who cannot return home. 2009 ESG funds are requested for operational costs-utilities, food, repairs, and office supplies.

2009 Funds recommended: \$26,325.00

2008 Funds allocated: \$26,197.00

TIMETABLE: Program is ongoing and entering into its 33rd year of operation. All funds expected to be used in 2009.

ELIGIBILITY:

24 CFR 576.21(a)(2)

Provision of essential services to the homeless, subject to the limitations in paragraph (b) of this section

24 CFR 576.21(b)(1)

Grant amounts provided by HUD to units of general local government...may be used to provide an essential service under paragraph (a)(2) of this section only if the service is a new service, or is a quantifiable increase in the level of a service above that which the unit of general local government (or...), as applicable, provided with local funds during the 12 calendar months immediately before the grantee...received initial grant amounts.

24 CFR 576.21(a)(3)

Payment for shelter maintenance, operation, rent, repairs, security, fuel, equipment, insurance, utilities, food and furnishings. Not more than 10 percent of the grant amount may be used for costs of staff.

Matrix Code: 05D – Youth Services

OUTCOME PERFORMANCE MEASUREMENT:

Objective #1 Creates a suitable living environment **Outcome:** Improve Affordability

SERVICE AREA: City of South Bend

GOALS OF PROJECT (A.K.A. Performance Measures, Outcome Measures & Performance Indicators);, **with measurable objectives:**

1. Runaway and homeless youth have a safe, off the street environment. 160 clients will receive intake into the Safe Station program
2. 8000 youth will receive outreach intervention services through presentation to local schools

CONSISTENCY WITH HCD PLAN: HOMELESSNESS, STRATEGY #4 Emergency Shelter.

MATCH FUNDING: The United Way - \$40,842.00

PROGRAM INCOME: No

ENVIRONMENTAL REVIEW: Exempt

OTHER REVIEWS:

Davis-Bacon: N/A

Relocation: N/A

Job Creation: N/A

One-for-One Replacement: N/A

Activity Description
Emergency Shelter Grant 2009 Program Year
Application No 21

APPLICANT: AIDS Ministries/AIDS Assist of North Indiana, Inc.

PROJECT TITLE: Comprehensive Housing Assistance for People with HIV/AIDS

DESCRIPTION: The primary purpose is to provide the area HIV+ individuals, and their families, with a complete package of housing options based on a continuum of care approach. 2009 ESG funds are requested to provide homeless prevention, essential services, and operations expenses.

2009 Funds recommended: \$15,440.00

2008 Funds allocated: \$15,312.00

TIMETABLE: Program will be ongoing, and all funds are expected to be used in 2009.

ELIGIBILITY:

24 CFR 576.21(a)(2)

Provision of essential services to the homeless

24 CFR 576.21(a)(3)

Payment for shelter maintenance, operation, rent, repairs, security, fuel, equipment, insurance, utilities, food and furnishings. Not more than 10 percent of the grant amount may be used for costs of staff.

Matrix Code: 03T – Operating costs of homeless/aids patients programs

OUTCOME PERFORMANCE MEASUREMENT:

Objective #2 Decent Housing **Outcome:** Improve Affordability

SERVICE AREA: City of South Bend/Mishawaka

GOALS OF PROJECT (A.K.A. Performance Measures, Outcome Measures & Performance Indicators):: The goal of this project is to provide homeless, HIV disabled individuals, and their families, the critical supportive housing and services based on a continuum of care. 25 HIV+ individuals and 10 children will receive benefits.

CONSISTENCY WITH HCD PLAN: Section “C” Homelessness”, strategy #4 & #6.

MATCH FUNDING: State ESG & HOPWA funds, ESG program desk guide, 2.3-other public & privates sources

PROGRAM INCOME: No program income will be generated by these funds.

ENVIRONMENTAL REVIEW: Exempt

OTHER REVIEWS:

Davis-Bacon:

N/A Job Creation: N/A

Relocation: N/A

One-for-one Replacement: N/A

Activity Description
City of South Bend 2009 CDBG Program year
Application #22

SUBMITTING ORGANIZATION: Division of Community Development

PROJECT TITLE: South Bend Home Improvement Program, (SBHIP)

DESCRIPTION: Provides the opportunity for (1) low/moderate income individuals and families to live in safe and affordable housing, (2) improvement and maintenance of the housing stock in the City of South Bend, (3) to maintain the affordability of the housing stock, and (4) to assist in the revitalization of neighborhoods. The 2009 program is open to owner-occupant residents throughout the City.

BUDGET: 2009 requested: \$470,000 Staff recommendation: \$470,000
2008 approved: \$469,365

TIMETABLE: Ongoing throughout 2009

ELIGIBILITY: **24 CFR 570.202 Eligible rehabilitation and preservation activities.** (b) *Types of assistance.* ACDBG funds may be used to finance the following types of rehabilitation activities . . . (2) Labor, materials, and other costs of rehabilitation of properties . . . @

BENEFIT: **24 CFR 570.208** (a) *Activities benefiting low and moderate income persons.* (3) *Housing activities.* AAn eligible activity carried out for the purpose of providing or improving permanent residential structures which, upon completion, will be occupied by low and moderate income households.@

Matrix Code: 14A – Rehab, single unit residential

Outcome Performance Measurement:

Objective: #2 – Housing programs where the purpose of the program is to meet individual or family needs.

Outcome: 2 – includes maintenance of affordable housing.

COMPATIBILITY with HDC Plan: A Housing, Strategy #1 – Supporting existing homeowners.

SERVICE AREA: City wide for income eligible homeowners.

GOALS OF PROJECT (aka Performance Measures/Outcome Measures/Performance Indicators):

To assist 47 households with home repairs that improve the safety of their living quarters and helps improve the stability of the neighborhood.

ENVIRONMENTAL ASSESSMENT: Categorically excluded, 24 CFR 58.35 (a) (4); environmental checklist required all properties.

OTHER REVIEWS:

Davis-Bacon: No.

One for One Replacement Hsg: No

Relocation: No.

Job Creation: No

Activity Description
HOME 2009 Program Year
Application No. 23

APPLICANT: Habitat for Humanity of St. Joseph County, Inc.

PROJECT TITLE: Partnership Housing Project

DESCRIPTION: HOME funds will be used as a development subsidy for newly constructed houses.

2009 Funds Recommended: \$99,000.00
2008 Funds allocated: \$100,000.00

PROGRAM DELIVERY/DEVELOPER FEE: None requested

TIMETABLE: all funds expected to be used in 2009

ELIGIBILITY: 24 CFR 92.205(a)(1)

Home funds may be used by participating jurisdiction to provide incentives to develop and support affordable rental housing and homeownership affordability through the acquisition (including assistance to home buyers), new construction, reconstruction, or moderate or substantial rehabilitation of non-luxury housing with suitable amenities, including real property acquisition, site improvements, conversion, demolition, and other expenses, including financing costs, relocation expenses of any displaced persons, families, businesses, or organizations, to provide tenant-based rental assistance, including security deposits; to provide for the payment of reasonable administrative and planning costs; and to provide for the payment of operating expenses of CHDOs. The housing must be permanent or transitional housing, and includes permanent housing for disabled homeless persons, and single-room occupancy housing. The specific eligible costs for these activities are set forth in 24 CFR 92.206-209.

SERVICE AREA: South Bend

GOALS OF PROJECT (A.K.A. Performance Measures, Outcome Measures & Performance Indicators):To provide development subsidy for the construction of 8 new homes

Matrix Code: 05R – Homeownership Assistance (not direct)

OUTCOME PERFORMANCE MEASUREMENT:

OBJECTIVE: #2, decent housing

OUTCOME: improve affordability

MATCH FUNDING: Donations from individuals, church and corporate

CONSISTENCY WITH HCD PLAN- Housing section “A” strategy #2, Helping renters become homeowners

PROGRAM INCOME: This project will not produce any direct income.

ENVIRONMENTAL REVIEW: Categorically excluded, needs checklist and release of funds

OTHER REVIEWS:
Davis-Bacon: N/A
Relocation: N/A
Job Creation: N/A
One-for-One Replacement: N/A

Activity Description
City of South Bend 2009 CDBG Program year
Application #24

SUBMITTING ORGANIZATION: Habitat for Humanity of St. Joseph County

PROJECT TITLE: Habitat 2009 Cleveland Rehabilitation

DESCRIPTION: The owner of the home located at 1138 Cleveland has approached Habitat and offered to donate the structure to Habitat if Habitat commits to placing a low income family in the home. The Troyer Group's Design and Build Team has taken on the over-site of the project and will write the detailed specifications, review and determine bids and inspect and approve all aspects of the project. Habitat will find one family who will participate in the rehabilitation of this home, has been income qualified at up to 60% AMI, has cleared all delinquent debt and has successfully completed training in the areas of home purchasing, financial management, home construction/maintenance and life skills management.

BUDGET: 2009 requested: \$40,000
2008 approved : \$0

Staff recommendation: \$40,000

TIMETABLE:

Habitat will be ready to begin the rehabilitation of this home when funding is available. The goal would be to complete the home by July 15, 2009.

ELIGIBILITY: **24 CFR 570.202** *Eligible rehabilitation and preservation activities.* (b) *Types of assistance.* ACDBG funds may be used to finance the following types of rehabilitation activities . . . (2) Labor, materials, and other costs of rehabilitation of properties.

BENEFIT: **570.208 (a) (3)** *Housing activities.* An eligible activity carried out for the purpose of providing or improving permanent residential structures which, upon completion, will be occupied by low and moderate income households.@

Matrix Code: 14A – rehab, single unit residential

Outcome Performance Measurement:

Objective: #2 – Decent housing, Housing programs where the purpose of the program is to meet individual or family needs.

Outcome: #2 – Improve affordability, including the creation or maintenance of affordable housing.....

COMPATIBILITY WITH HCD PLAN: A. Housing Strategy #2, Helping renters become and remain homeowners.

SERVICE AREA: 1138 Cleveland Street.

GOALS OF PROJECT: One home will be significantly rehabilitated, one low mod income family will become active participants in the Habitat Program, successfully complete Home Buyers Education, Home Management Seminar, Cornerstone Construction Training, Financial Freedom Training and purchase their own home.

ENVIRONMENTAL ASSESSMENT: Categorically excluded, 24 CFR 58.35 (a) (4)

OTHER REVIEWS:

Davis-Bacon: No
Relocation: No.

One for One Replacement: No
Job Creation: No

**ACTIVITY DESCRIPTION
(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 26**

SUBMITTING ORGANIZATION: *YMCA of St. Joseph County*

PROJECT TITLE: *(BASE) Before & After School Experience*

DESCRIPTION: *Providing child care and educational services before and after the school day at Mishawaka schools.*

BUDGET: **2009 REQUESTED:** \$39,900 *Staff recommendation:* \$7,000
2008 APPROVED: \$3,000

TIMETABLE: *Ongoing throughout 2009*

ELIGIBILITY: *24 CFR 570.201 (e) Public Services. "Provision of public services including...employment, crime prevention, child care, health, drug abuse, education, fair housing counseling, energy conservation, welfare..."*

BENEFIT: *24 CFR 570.208 (a) (ii) Limited Clientele Activities. Benefit a clientele who are generally presumed to be principally low and moderate-income persons. Activities that exclusively serve a group of persons in any one or a combination of the following categories, may be presumed to benefit persons, 51 percent of whom are low/mod income: abused children, battered spouses, elderly persons, the severely disabled, homeless persons illiterate adults, persons living with AIDS, and migrant farm workers.."*

MATRIX CODE: *05D – Youth Services*

OUTCOME PERFORMANCE MEASUREMENT: *Objective #1: Creates a suitable living environment
Outcome #1: Improve availability/accessibility*

COMPATIBILITY WITH HCD PLAN: *B. Social Services, Strategy 9: Expand Youth Services. (p.40)*

SERVICE AREA: *City of Mishawaka, Indiana*

GOALS OF PROJECT (aka Performance Measures/Outcome Measures/Performance Indicators): *YMCA will have programming for 25 children at 6 Mishawaka elementary schools and offer services to an additional 12 children. All 150 existing school children will continue attending the BASE program.*

ENVIRONMENTAL ASSESSMENT: *24 CFR 58.34 (a) (4) Exempt*

OTHER REVIEWS:

Davis-Bacon: *No*

Relocation: *No*

One for One Replacement Housing: *No*

Job Creation: *No*

**ACTIVITY DESCRIPTION
(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 27**

SUBMITTING ORGANIZATION: REAL Services, Inc.

PROJECT TITLE: REAL Services, Inc. Regional Kitchen for Independent Living

DESCRIPTION: To create a permanent site for the Meals on Wheels and Nutrition Programs of Real Services, providing a base of operations where meals will be prepared and delivered in South Bend and Mishawaka.

BUDGET: **2009 REQUESTED:** \$100,000 Staff recommendation: \$4,500
2008 APPROVED: \$0.00

TIMETABLE: Ongoing throughout 2009

ELIGIBILITY: **24 CFR 570.201 (e) Public Service.** "Provision of public services (including labor, supplies and materials) which are directed toward improving the community's public services and facilities, including but not limited to those concerning...health...welfare."

BENEFIT: **24 CFR 570.208 (a)(ii) Limited clientele activities.** "Require information on family size and income so that it is evident that at least 51 percent of the clientele are persons whose family income does not exceed the low and moderate income limit;"

MATRIX CODE: 05A – Senior Services

OUTCOME Objective #1: Creates a suitable living environment
PERFORMANCE Outcome #3: Improve sustainability, promoting livable/viable communities
MEASUREMENT:

SERVICE AREA: Mishawaka, Indiana

COMPATIBILITY WITH HDC PLAN: B. Social Services, Strategy # 3 Provide Financial/Physical Assistance for the Elderly

GOALS OF PROJECT (aka Performance Measures/Outcome Measures/Performance Indicators): Provide a facility where over 164,000 meals will be prepared for and delivered to over 2,000 older adults in South Bend and Mishawaka.

ENVIRONMENTAL ASSESSMENT: Categorically Excluded, 24 CFR 58.35 (a)(3)(ii)(A)(B)

OTHER REVIEWS:

Davis-Bacon:	Yes
Relocation:	No
One for One Replacement Housing:	No
Job Creation:	No

Activity Description
City of South Bend 2009 CDBG Program year
Application #28

SUBMITTING ORGANIZATION: Community Homebuyers Corporation

PROJECT TITLE: Forgivable Second Mortgage Program

DESCRIPTION: To provide homeownership opportunities for low/mod income homebuyers through offering a forgivable second mortgage from 5% to 20% of the purchase price of the home.

BUDGET: 2009 requested: \$300,000
2008 approved: \$300,000

Staff recommended: \$300,000

TIMETABLE: Ongoing throughout 2009

ELIGIBILITY: **24 CFR 570.201 (n) Homeownership Assistance.** A....CDBG funds may be used to provide direct homeownership assistance to low-moderate income households.....@

BENEFIT: **24 CFR 570.208 (a) Activities benefiting low and moderate income persons.** (3) *Housing activities.* AAn eligible activity carried out for the purpose of providing or improving permanent residential structures which, upon completion, will be occupied by low and moderate income households.@

Matrix Code: 13 Direct homeownership assistance

Outcome Performance Measurement:

Objective: #2 – Decent Housing, meet individual and family needs for affordable housing through this mortgage subsidy program..

Outcome: #2 – Improve affordability by providing mortgage subsidies.

COMPATIBILITY with HDC Plan: A. Housing Strategy #2 – Helping Renters become and remain homeowners.

SERVICE AREA: City of South Bend.

GOALS OF PROJECT: Move 25 households from renters to homeowners.

ENVIRONMENTAL ASSESSMENT: Exempt, 24 CFR 58.34 (a) (4)

OTHER REVIEWS:

Davis-Bacon: No.

Relocation:No.

One for One Replacement Hsg: No.

Job Creation: No.

**Activity Description
HOME 2009 Program Year
Application No. 29**

APPLICANT: Madison Center

PROJECT TITLE: Tenant Based Rental Assistance (TBRA)

DESCRIPTION: The primary purpose is to provide rental subsidies to the mentally ill who can live semi-independently with case management, psychiatric, occupational therapy and treatment.

2009 FUNDS RECOMMENDED \$5,000.00

2008 FUNDS ALLOCATED \$10,000.00

PROGRAM DELIVERY/DEVELOPER FEE: None requested

TIMETABLE: all funds expected to be used in 2009

ELIGIBILITY: 24 CFR 92.205(a) (1)

Home funds may be used by participating jurisdiction to provide incentives to develop and support affordable rental housing and homeownership affordability through the acquisition (including assistance to home buyers), new construction, reconstruction, or moderate or substantial rehabilitation of non-luxury housing with suitable amenities, including real property acquisition, site improvements, conversion, demolition, and other expenses, including financing costs, relocation expenses of any displaced persons, families, businesses, or organizations, to provide tenant-based rental assistance, including security deposits; to provide for the payment of reasonable administrative and planning costs; and to provide for the payment of operating expenses of CHDOs. The housing must be permanent or transitional housing, and includes permanent housing for disabled homeless persons, and single-room occupancy housing. The specific eligible costs for these activities are set forth in 24 CFR 92.206-209.

Matrix Code: 05S – Rental housing subsidies

SERVICE AREA: St. Joseph County

GOALS OF PROJECT: (A.K.A. Performance Measures, Outcome Measures & Performance Indicators):To provide monthly rental subsidies for one year to four to six persons with serious mental illness.

OUTCOME PERFORMANCE MEASUREMENT:

OBJECTIVE: #2, decent housing

OUTCOME: improve availability/accessibility

CONSISTENCY WITH HCD PLAN: Homelessness section “C” strategy #6

MATCH FUNDING: Madison Center-the value of services provided, CFR24 92.220 (a)(10).

PROGRAM INCOME: This project will not produce direct income.

ENVIRONMENTAL REVIEW: Environmental checklist required & release of funds

OTHER REVIEWS: Davis-Bacon: N/A Job Creation: NA
Relocation: N/A One-for-One Replacement: NA

**ACTIVITY DESCRIPTION
(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 30**

SUBMITTING ORGANIZATION: *Stone Soup Community*

PROJECT TITLE: *Family Development & Budget Management*

DESCRIPTION: *Resource and referral services for Mishawaka low-mod income residents.*

BUDGET: **2009 REQUESTED:** \$13,000 *Staff recommendation:* \$4,500
2008 APPROVED: \$15,000

TIMETABLE: *Ongoing throughout 2009*

ELIGIBILITY: *24 CFR 570.201 (e) Public Service. "Provision of public services (including labor, supplies, and materials which are directed toward improving the community's public services and facilities, including but not limited to those concerned with employment, crime prevention, child care, health, drug abuse, education, fair housing counseling energy conservation, welfare..."*

BENEFIT: *24 CFR 570.208 (a)(2) Limited clientele activities. (A)"Benefit a clientele who are generally presumed to be principally low and moderate income persons. Activity that exclusively serve a group of persons in and one or a combination of persons of the following categories may be presumed to benefit persons, 51 percent of whom are low and moderate income, elderly persons..."*

MATRIX CODE: *05 – Other Public Services*

OUTCOME PERFORMANCE MEASUREMENT: *Objective #1: Creates a suitable living environment
Outcome #3: Improve sustainability, promoting livable/viable communities*

COMPATIBILITY WITH HDC PLAN: *B. Social Services: # 4: Give Family Advocacy and Support*

SERVICE AREA: *City of Mishawaka, Indiana*

GOALS OF PROJECT: *Mishawaka clients will be provided case management assistance, support, and guidance.*

ENVIRONMENTAL ASSESSMENT: *24 CFR 58.34 (a) (4) Exempt*

OTHER REVIEWS:

Davis-Bacon: *No*
Relocation: *No*
One for One Replacement Housing: *No*
Job Creation: *No*

**ACTIVITY DESCRIPTION
(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 34**

SUBMITTING ORGANIZATION: *Boys & Girls Club of St. Joseph County*

PROJECT TITLE: *Youth Development Services in Mishawaka*

DESCRIPTION: *To provide interrelated activities involving Mishawaka youth in experiences that enhance the development of their physical, social, educational, vocational, and moral lives. The activities include but are not limited to Character and Leadership; Education and Career; Health and Life Skills; The Arts; and Sports, Fitness, and Recreation.*

BUDGET: **2009 REQUESTED:** \$27,000 *Staff recommendation:* \$20,000
2008 APPROVED: \$20,000

TIMETABLE: *Ongoing throughout 2009*

ELIGIBILITY: *24 CFR 570.201 (e) Public services: "Provision of public services (including labor, supplies and materials) which are directed toward improving the community's public services and facilities, including but not limited to those concerning...education...recreational needs.*

BENEFIT: *24 CFR 570.208 (a)(ii) Limited clientele activities. "Require information on family size and income so that it is evident that at least 51 percent of the clientele are persons whose family income does not exceed the low and moderate income limit;"*

MATRIX CODE: *05D, Youth Services*

OUTCOME PERFORMANCE MEASUREMENT: *OBJECTIVE#1: Creates a suitable living environment.
OUTCOME #3: Improve sustainability: Promoting livable/viable communities.*

COMPATIBILITY WITH HCD PLAN: *B. Social Services, Strategy# 9: Expand Youth Services. (p.40)*

SERVICE AREA: *Mishawaka, Indiana*

GOALS OF PROJECT (aka Performance Measures/Outcome Measures/Performance Indicators): *Increase participation in the core programming at the Battell Elementary School site and expand the program to another Mishawaka elementary school.*

ENVIRONMENTAL ASSESSMENT: *24 CFR 58.34 (a) (4) Exempt*

OTHER REVIEWS:

Davis-Bacon: *No*

Relocation: *No*

One for One Replacement Housing: *No*

Job Creation: *No*

Activity Description
City of South Bend 2009 CDBG Program year
Application #35

SUBMITTING ORGANIZATION: Family & Children=s Center

PROJECT TITLE: Counseling and Development Services

DESCRIPTION: To offer supportive services to families and individuals of South Bend, including individual, family and group counseling. FCC specializes in the treatment of adult and child victims of sexual abuse, domestic violence and physical abuse and neglect.

BUDGET: 2009 requested: \$60,000 Staff recommended: \$24,244
 2008 approved: \$32,325

TIMETABLE: Ongoing throughout the year.

ELIGIBILITY: **24 CFR 570.201 (e) Public services.** AProvision of public services (including labor, supplies and materials) which are directed toward improving the community=s public services and facilities, including but not limited to those concerning education, . .counseling@.

BENEFIT: **24 CFR 570.208 (a) (2) Limited clientele activities.** (B) ARequire information on family size and income so that it is evident that at least 51 percent of the clientele are persons whose family income does not exceed the low and moderate income limit;@

Matrix Code: 05 Other Services

Outcome Performance Measurement:

Objective: #1 – Creates a suitable living environment by addressing issues in their living environment and social issues such as child care.

Outcome: #1 – Improve availability/accessibility, making the affordable basics of daily living available and accessible to low/mod income people where they live.

COMPATIBILITY with the HDC Plan: B. Social Services, Strategy #6: Provide needed Mental Health Care.

SERVICE AREA: City of South Bend

GOALS OF PROJECT: To provide 5,880 clinical hours of therapeutic counseling services including individual therapy, play therapy, addictions treatment and group therapy to 412 low/moderate income adults and/or children.

ENVIRONMENTAL ASSESSMENT: Exempt, 24 CFR 58.34 (a) (4)

OTHER REVIEWS:

Davis-Bacon: No.

Relocation: No.

One for One Replacement Hsg: No.

Job Creation: No.

Activity Description
HOME 2009 Program Year
Application #36

APPLICANT: Near Northwest Neighborhood, Inc.

PROJECT TITLE: N.N.N. Revitalization Program

DESCRIPTION: The primary purpose is to purchase and renovate structures in the Near Northwest Neighborhood preserving housing stock, increasing homeownership, and eliminating blight.

2009 FUNDS RECOMMENDED: \$160,551.00

2008 FUNDS ALLOCATED: \$558,310.00

PROGRAM DELIVERY/DEVELOPER FEE: 12.5% of eligible expenses

TIMETABLE: all funds are expected to be spent in 2009

ELIGIBILITY: 24 CFR 92.205(a)(1)

Home funds may be used by participating jurisdiction to provide incentives to develop and support affordable rental housing and homeownership affordability through the acquisition (including assistance to home buyers), new construction, reconstruction, or moderate or substantial rehabilitation of non-luxury housing with suitable amenities, including real property acquisition, site improvements, conversion, demolition, and other expenses, including financing costs, relocation expenses of any displaced persons, families, businesses, or organizations, to provide tenant-based rental assistance, including security deposits; to provide for the payment of reasonable administrative and planning costs; and to provide for the payment of operating expenses of CHDOs. The housing must be permanent or transitional housing, and includes permanent housing for disabled homeless persons, and single-room occupancy housing. The specific eligible costs for these activities are set forth in 24 CFR 92.206-209.

Matrix Code: 14G, rehab acquisition

SERVICE AREA: City of South Bend, Near Northwest Neighborhood, census tract 6 & 7

GOALS OF PROJECT (A.K.A. Performance Measures, Outcome Measures & Performance Indicators): To renovate six structures and to provide the opportunity for six low-to-moderate income families to purchase a home.

OUTCOME PERFORMANCE MEASUREMENT:

OBJECTIVE: #2, decent housing

OUTCOME: improve sustainability

CONSISTENCY WITH HCD PLAN: Housing section "A", strategy #2

MATCH FUNDING: residential tax abatements, property donations, private donations, volunteer labor

PROGRAM INCOME: This project will not produce any direct income.

ENVIRONMENTAL REVIEW: Categorically Excluded, needs statutory checklist & release of funds

OTHER REVIEWS: Davis-Bacon: N/A Job Creation: N/A
Relocation: N/A One-for-One Replacement: N/A

Activity Description

(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 35

SUBMITTING ORGANIZATION: Family & Children's Center Counseling & Development Services

PROJECT TITLE: Family & Children's Center Mishawaka Services

DESCRIPTION: To provide clinical services and child development services that aid in the prevention, education, intervention and treatment of child abuse and/or neglect.

BUDGET: 2009 REQUESTED: \$40,000 Staff recommendation: \$9,500
2008 APPROVED: \$9,500

TIMETABLE: Ongoing throughout 2009

ELIGIBILITY: 24 CFR 570.201 (e) Public service. "Provision of public services (including labor, supplies and materials which are directed toward improving the community's public services and facilities, including but not limited to those concerning...education, ...counseling."

BENEFIT: 24 CFR 570.208 (a)(2) Limited clientele activities. (B)"Require information on family size and income so that it is evident that at least 51 percent of the clientele are persons whose family income does not exceed the low and moderate income limit;"

MATRIX CODE: 05, other services

OUTCOME PERFORMANCE MEASUREMENT: Objective #1: Creates suitable living environment
Outcome #1: Improve availability/accessibility

COMPATIBILITY WITH HCD PLAN: B. Social Services, Strategy #6: Provide Needed Mental Health Care (p.40)

SERVICE AREA: City of Mishawaka, Indiana

GOALS OF PROJECT (aka Performance Measures/Outcome Measures/Performance Indicators): 1) Provide counseling for 700 adults and/or young children for a total of 10,000 clinical hours of therapeutic counseling services; 2) Child care for families working toward self-sufficiency; 3) Relief child care and ongoing therapeutic services (to prevent or minimize the effects of abuse and neglect on children); 4) Self-help support group for mothers; 5) Parenting groups in several categories.

ENVIRONMENTAL ASSESSMENT: 24 CFR 58.34 (a) (4) Exempt

OTHER REVIEWS:

Davis-Bacon: No
Relocation: No
One for One Replacement Housing: No
Job Creation: No

Activity Description
City of South Bend 2009 CDBG Program year
Application #37

Submitting Organization: Near Northwest Neighborhood, Inc.,

Subject Title: Program Delivery Activity

Description: Funding to be used for program delivery costs in support of housing activities in the near northwest neighborhood. These costs include, but are not limited to: Staff and overhead costs directly related to carrying out the project, such as work specifications preparation, loan processing inspections, and other services related to assisting potential owners, tenants and homebuyers.

Budget: 2009 requested: \$30,000.00 Staff recommendation: \$30,000
 2008 approved: \$0

Timetable: Ongoing throughout the year.

Eligibility: 24 CFR 570.201 & 570.202 Eligible program delivery activities necessary to carry out activities eligible under 24 CFR 570.201 and 570.202. Including Housing services 570.201(k) support of activities eligible for funding under the HOME program, 24 CFR 92.206 (d) related soft costs.

Benefit: 24 CFR 570.200 (a) (1) Cost of carrying out the activities eligible under 570.201 and 570.202 will benefit low and moderate income persons.@

Matrix Code: 14H, housing administration

Outcome Performance Measurement:

Objective: #1 – Creates a suitable living environment. This objective relates to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment.

Outcome: #3 – Improve sustainability by promoting livable or viable communities by providing benefit to persons of low/mod income.

Compatibility with HDC Plan: A. “Housing” Strategy #1, 2, and 3. Program delivery costs to support the activities of Supporting existing homeowners, Helping renters become and remain homeowners and Promote quality rental properties.

Service Area: Near Northwest Neighborhood (CT 6)

Goals of the Project (aka Performance Measures/Outcome Measures/Performance Indicators):
To successfully complete the proposed CDBG and HOME program projects.

Environmental Assessment: Exempt, 24 CFR 58.34(a)(4)

Other Reviews:

Davis Bacon: No

Relocation: No

One for One Replacement Hsg: No

Job Creation: No

Activity Description
City of South Bend 2009 CDBG Program year
Application #38

SUBMITTING ORGANIZATION: Near Northwest Neighborhood, Inc

PROJECT TITLE: Near Northwest Neighborhood, Inc. Revitalization Program - CDBG

DESCRIPTION: Revitalization of the Near Northwest Neighborhood. If fully funded the NNN proposes to purchase and rehabilitate 5 deteriorated properties then sell them to low/mod income buyers. One substandard home will be demolished and 3 homeowners will be offered a forgivable loan to improve their properties. Installation of new curbs and sidewalks in conjunction with property development.

BUDGET: 2009 requested: \$732,375 Staff recommendation: \$340,625
2008 approved : \$ 0

TIMETABLE:
Throughout 2009 -

ELIGIBILITY: **24 CFR 570.201 (a) Acquisition.** Acquisition in whole or in part by the recipient, or other public or private nonprofit entity, . . . for any public purpose, subject to the limitations of 570.207. @, **(d) clearance activities** and **(i) Relocation.** Relocation payments and other assistance for permanently and temporarily relocated individuals, families, . . . @ **570.202 Eligible rehabilitation and preservation activities.** **(b) Types of assistance.** ACDBG funds may be used to finance the following types of rehabilitation activities . . . **(2) Labor, materials, and other costs of rehabilitation of properties** . . . @ **570.201 (c) Public facilities and improvements.** Acquisition, construction, reconstruction, rehabilitation or installation of public facilities and improvements, . . . @

BENEFIT: 570.208 (a) Activities benefiting low and moderate income persons. (1) Area benefit activities. An activity, the benefits of which are available to all the residents in a particular area, where at least 51 percent of the residents are low and moderate income persons (Public Works Activity) **(3) Housing activities.** An eligible activity carried out for the purpose of providing or improving permanent residential structures which, upon completion, will be occupied by low and moderate income households. @

Matrix Code: 14G – rehab acquisition

Outcome Performance Measurement:

Objective: #2 – Decent housing, Housing programs where the purpose of the program is to meet individual or family needs.

Outcome: #3 – Improve sustainability: Promoting Livable or Viable Communities. . . the activities are aimed at improving communities or neighborhoods. . .

COMPATIBILITY WITH HCD PLAN: A. Housing Strategy #2, Helping renters become and remain homeowners and D “Other” Needs #1, Public Works.

SERVICE AREA: CT 6 BG1(61.2% L/M) CT6 BG2(72.7% L/M) and CT 6 BG 3 (91.1% L/M).

GOALS OF PROJECT: To continue to strengthen the NNN neighborhood. Purchase and rehabilitation of 5 homes and the demolition of 1 home the resale of 5 renovated homes to low/mod income families, the rehabilitation of 3 owner-occupied homes and installation of new curbs and sidewalks in conjunction with the rehab of homes.

ENVIRONMENTAL ASSESSMENT: Categorically excluded, 24 CFR 58.35 (a) (4)

OTHER REVIEWS:

Davis-Bacon: Yes (Curbs & sidewalks)
Relocation: No.

One for One Replacement: No
Job Creation: No

Activity Description
City of South Bend 2009 CDBG Program year
Application #40

Submitting Organization: Near Northwest Neighborhood, Inc.

Subject Title: General Administration

Description: Funding to be used for general administrative support of Near Northwest Neighborhood, Inc.

Budget: 2009 requested: \$125,000
2008 approved: \$90,400

Staff recommendation: \$80,400

Timetable: Ongoing throughout the year.

Eligibility: 24 CFR 570.205 Eligible Planning, urban environmental design and policy-planning-management-capacity building activities. (a) (6) A Policy - planning - management capacity building activities which will enable the recipient to (1) determine its needs; (2) Set long-term goals and short-term objectives,...@

Benefit: 24 CFR 570.200 (a) (1) Cost of administration and planning eligible under 570.205 and 570.206 will be assumed to benefit low and moderate income persons.@

Matrix Code: 21A General program administration

Compatibility with HDC Plan: D "Other" Needs, Strategy #3: Administration and Planning.

Service Area: Near Northwest Neighborhood (CT 6 and 7)

Goals of Project (aka Performance Measures/Outcome Measures/Performance Indicators):

- 1) Oversee housing development projects
- 2.) Continue development and support of community organizing activities.
- 3.) Develop capacity building for the residents of the Near N.W. Neighborhood, Inc. including support for home purchase and ownership.
- 4.) Provide day to day operational support of the Near Northwest Neighborhood, Inc. including financial accounting, grants, administration, and organizational record keeping.
- 5.) Maintain network of city agencies involved in implementation of strategies for designated target areas.
- 6.) Work with area partners to insure communication and potential sources for funding.

Environmental Assessment: Exempt, 24 CFR 58.34(a)(4)

Other Reviews:

Davis Bacon: No

Relocation: No

One for One Replacement Hsg: No

Job Creation: No

Activity Description
City of South Bend 2009 CDBG Program year
Application #42

SUBMITTING ORGANIZATION: Department of Code Enforcement, City of South Bend

PROJECT TITLE: Vacant & Abandoned Structures

DESCRIPTION: Funding is requested by the South Bend Department of Code Enforcement for a program to demolish 53 dilapidated vacant and/or abandoned buildings throughout the City of South Bend. Demolition will take place in designated redevelopment areas under the National objective of removal of slums and blight and in areas not designated as redevelopment areas under the National objective of spot slum & blight. This program is in response to the many vacant and abandoned structures located within the city that are a negative influence in the neighborhoods.

BUDGET: 2009 requested: \$400,000 Staff recommended: \$350,000
 2008: approved \$450,000

TIMETABLE:

Acquisition and demolition will begin when funds are released by HUD and will continue throughout 2009.

ELIGIBILITY: 24 CFR 570.201 (a) Acquisition, (d) Clearance Activities.... “Clearance, demolition and removal of buildings and improvements.....”

BENEFIT: 24 CFR 570.208 “(b) Activities which aid in the prevention or elimination of slums and blight. (1) To address slums and blight on an area basis. (2) Activities to address slums or blight on a spot basis.”

Matrix Code: 04 Clearance and demolition

COMPATIBILITY with HDC Plan: D: “Other Needs” - #2 Targeted Demolition – While we hope to use only on a limited basis, there are some times when a structure must be demolished to further neighborhood revitalization and/or pave the way for new development.

SERVICE AREA: Throughout the City of South Bend.

GOALS OF PROJECT: Through the proposed acquisition and demolition program the City is attempting to control the number of vacant and abandoned structures throughout the city and encourage homeownership and new construction.

ENVIRONMENTAL ASSESSMENT: Demolition is assessed, 24 CFR 58.36.

OTHER REVIEWS:

Davis-Bacon: No, no specific plans for building on demolition sites, at this time.

One for One Replacement: No, all vacant for more than 3 months.

Relocation: No, vacant

Job Creation: No

Activity Description
City of South Bend 2009 CDBG Program year
Application #44

Submitting Organization: South Bend Police Department

Subject Title: Neighborhood Watch Program

Description: To reduce incidents of crime by educating neighborhood residents and promoting community involvement through the Neighborhood Watch Program.

Budget: 2009 requested: \$40,000
2008 approved: \$28,735

Staff recommendation: \$21,551.00

Timetable: Ongoing throughout the year.

Eligibility: **24 CFR 570.201** (e) *Public Services*. A provision of public services (including labor, supplies and materials) which are directed toward improving the community's public services and facilities, including but not limited to those concerning...crime prevention....@

Benefit: **24 CFR 570.208** (a) (1) *Area benefit activities*. (I).@An activity, the benefits of which are available to all the residents in a particular area, where at least 51 percent of the residents are low and moderate income persons.....@

Matrix Code: 05I Crime awareness/prevention

Outcome Performance Measurement:

Objective: #1 – Create a suitable living environment. This project relates to activities that are designed to benefit communities by addressing issues in their living environment such as crime prevention.

Outcome: #3 – Promoting Livable or Viable communities. This project gives residents opportunities to know each other through Neighborhood Watch activities.

Compatibility with HDC Plan: B. Social Services Strategy #7: Increase public safety/awareness.

Service Area: Neighborhood Revitalization Area and other low/mod income neighborhoods.

Goals of Project: 1.) To reduce incidents of crime 2.) To decrease the level of fear among residents within the NRA, 3) to increase the number of Neighborhood Watch groups, 4) to increase the number of participating watch captains to 1300, 5) to increase the number of neighborhood watch residents to 3000, 6) to increase personal safety and home security awareness, 7) to provide residential security assessments, 8) to promote community policing philosophy by example.

Environmental Assessment: Exempt, 24 CFR 58.34(a)(4)

Other Reviews:

Davis Bacon: No

Relocation: No

One for One Replacement Hsg: No

Job Creation: No

Activity Description
Emergency Shelter Grant 2009 Program Year
Application #45

APPLICANT: The Center for the Homeless, Inc.

PROJECT TITLE: The Center for the Homeless Operations/Emergency Services

DESCRIPTION: The purpose of the program is to assist people in breaking the cycles of poverty, addiction, violence, and isolation that lead to homelessness. 2008

2009 FUNDS Recommended: \$32,096.00

2008 FUNDS Recommended: \$31,968.00

TIMETABLE: PROGRAMS OPERATE CONTINUOUSLY THRUOUT THE YEAR

ELIGIBILITY: 24 CFR 576.21(b)(1)

Grant amounts provided by HUD to units of general local government...may be used to provide an essential service under paragraph (a)(2) of this section only if the service is a new service, or is a quantifiable increase in the level of a service above that which the unit of general local government (or...), as applicable, provided with local funds during the 12 calendar months immediately before the grantee...received initial grant amounts.

24 CFR 576.21(a)(3) Payment for shelter maintenance, operation, rent, repairs, security, fuel, equipment, insurance, utilities, food and furnishings. Not more than 10 percent of the grant amount may be used for costs of staff.

Matrix Code: 03T operating costs of homeless/AIDS patients programs

OUTCOME PERFORMANCE MEASUREMENT:

Objective #1 Creates a suitable living environment

Outcome: Improve Availablity/Accessability

SERVICE AREA: The City of South Bend & City of Mishawaka

GOALS OF PROJECT(A.K.A. Performance Measures, Outcome Measures & Performance Indicators):, with measurable objectives: 1,000 people will receive emergency services.

CONSISTENCY WITH HCD PLAN: Section "C" Homelessness, strategy #4-Emergency Shelter

MATCH FUNDING: 14,500 volunteer hours

PROGRAM INCOME: The funds will not produce income.

ENVIRONMENTAL REVIEW: Exempt

OTHER REVIEWS:

Davis-Bacon: N/A

Relocation: N/A

Job Creation: N/A

One-for-One Replacement: N/A

Activity Description
HOME 2009 Program Year
Application No. 46

APPLICANT: City of Mishawaka

PROJECT TITLE: Mishawaka First Time Homebuyer Program

DESCRIPTION: Mortgage & construction subsidy program to provide homeownership opportunities to income eligible families.

2009 Funds recommended: \$243,224.00
2008 Funds allocated: \$317,725.00

PROGRAM DELIVERY AMOUNT REQUESTED: \$65,000, 19%

TIMETABLE: all funds expected to be used in 2009.

ELIGIBILITY: 24 CFR 92.205(a)(1)

Home funds may be used by participating jurisdiction to provide incentives to develop and support affordable rental housing and homeownership affordability through the acquisition (including assistance to home buyers), new construction, reconstruction, or moderate or substantial rehabilitation of non-luxury housing with suitable amenities, including real property acquisition, site improvements, conversion, demolition, and other expenses, including financing costs, relocation expenses of any displaced persons, families, businesses, or organizations, to provide tenant-based rental assistance, including security deposits; to provide for the payment of reasonable administrative and planning costs; and to provide for the payment of operating expenses of CHDOs. The housing must be permanent or transitional housing, and includes permanent housing for disabled homeless persons, and single-room occupancy housing. The specific eligible costs for these activities are set forth in 24 CFR 92.206-209.

Matrix Code: 13 Direct homeownership assistance

SERVICE AREA: City of Mishawaka

GOALS OF PROJECT (A.K.A. Performance Measures, Outcome Measures & Performance Indicators):To strengthen neighborhoods by adding 4 new structures and provide homeownership opportunities to four families.

OUTCOME PERFORMANCE MEASUREMENT:

OBJECTIVE: #2, decent housing

OUTCOME: improve affordability

CONSISTENCY WITH HCD PLAN: Housing section "A" strategy #2

MATCH FUNDING: Difference between appraised value and the sales price.

PROGRAM INCOME: This project will not produce direct income.

ENVIRONMENTAL REVIEW: Environmental checklist & assessment

OTHER REVIEWS: Davis-Bacon: N/A Job Creation: N/A
Relocation: N/A One-for-One Replacement: N/A

Activity Description
(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 47

SUBMITTING ORGANIZATION: *City of Mishawaka Department of Redevelopment*

PROJECT TITLE: *City of Mishawaka Department of Redevelopment General Administration*

DESCRIPTION: *Provide staff services needed to monitor and administer the Mishawaka CDBG programs.*

BUDGET: **2009 REQUESTED:** \$95,500 *Staff recommendation:* \$95,500
2008 APPROVED: \$105,500

TIMETABLE: *Ongoing throughout 2009*

ELIGIBILITY: *24 CFR 570.206 (a) Program Administration costs. "General management, oversight and coordination."*

BENEFIT: *24 CFR 570.200 (a) (3) (i) "Cost of administration and planning eligible under CFR.205 and CFR 570.206 will be assumed to benefit low and moderate income persons."*

MATRIX CODE: *21A General program administration*

OUTCOME PERFORMANCE MEASUREMENT: *Not Applicable*

COMPATIBILITY WITH HCD PLAN: *D. "Other" Needs: Strategy #4 Administrative and Planning Support. (p.44)*

SERVICE AREA: *City of Mishawaka, Indiana*

GOALS OF PROJECT (aka Performance Measures/Outcome Measures/Performance Indicators): *Personnel and non-personnel costs associated with administering CDBG funded housing and neighborhood development programs.*

ENVIRONMENTAL ASSESSMENT: *24 CFR 58.34 (a) (3) Exempt*

OTHER REVIEWS:

Davis-Bacon: *No*
Relocation: *No*
One for One Replacement Housing: *No*
Job Creation: *No*

Activity Description

(MISHAWAKA 2009 PROGRAM YEAR) APPLICATION #: 48

SUBMITTING ORGANIZATION: *City of Mishawaka Department of Redevelopment*

PROJECT TITLE: *Mishawaka Public Infrastructure Program*

DESCRIPTION: *To provide for a variety of public works improvements that will include curb, sidewalks, sewers, trees, alleys, streets and street lights in the Lynn/Maplehurst/Jefferson Neighborhood, a Mishawaka neighborhood in which more than 61.1% of residents are of low/mod income as defined by HUD.*

BUDGET: **2009 REQUESTED:** \$324,000 Staff recommendation: \$324,000
2008 APPROVED: \$382,500

TIMETABLE: *Ongoing throughout 2009*

ELIGIBILITY: **570.201 (c)** *Public facilities and improvements. "Acquisition, construction, reconstruction, rehabilitation or installation of public facilities and improvements..."*

MATRIX CODE: *03K – Street Improvements*

COMPATIBILITY WITH HCD PLAN: *D. "Other" Needs: Strategy #1 Public Works*

OUTCOME PERFORMANCE MEASUREMENT: *Objective #1: Creates a suitable living environment
Outcome #1: Improve availability/accessibility*

BENEFIT: **570.208 (a)(1)** *Activities benefiting low and moderate income persons. Area benefit activities. "An activity, the benefits of which are available to all the residents in a particular area, where at least 51 percent of the residents are low and moderate income persons."*

SERVICE AREA: *Lynn/Maplehurst/Jefferson Neighborhood which is located in Census Tract 107, Block Group 6, (61.1% of the population is of Low/Mod income - Source: 2000 Census)*

GOALS OF PROJECT (aka Performance Measures/Outcome Measures/Performance Indicators) : *The program will provide street reconstruction, 1,960 l.f. of new sidewalk, 1,960 l.f. of concrete curb and gutter, as well as 1,180 l.f. of storm sewer and 940 l.f. of sanitary sewer, or a combination thereof. Approximately 5 homes will have new access to public sewer and water improvements.*

ENVIRONMENTAL ASSESSMENT: *24 CFR 58.35 (a) (1) Categorically Excluded*

OTHER REVIEWS:

Davis-Bacon: *Yes*

Relocation: *No*

One for One Replacement Housing: *No*

**ACTIVITY DESCRIPTION
(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 49**

SUBMITTING ORGANIZATION: *City of Mishawaka*

PROJECT TITLE: *Mishawaka Self-Sufficiency Homeownership Program*

DESCRIPTION: *The Mishawaka Department of Redevelopment, through its Mishawaka Self-Sufficiency Program, will provide the opportunity for one income-eligible family to participate in a lease-to-purchase program.*

BUDGET: **2009 REQUESTED:** \$7,000 *Staff recommendation:* \$7,000
2008 APPROVED: \$7,000

TIMETABLE: *Ongoing throughout 2009*

ELIGIBILITY: *24 CFR 570.201 (n) Homeownership assistance. "... CDBG funds may be used to provide direct homeownership assistance to low and moderate income household..."*

BENEFIT: *24CFR 570.208 (a) Activities benefiting low and moderate income persons. (3) Housing activities. "An eligible activity carried out for the purpose of providing or improving permanent residential structures which, upon completion, will be occupied by low and moderate income households."*

MATRIX CODE: *05R – Homeownership assistance (not direct)*

OUTCOME PERFORMANCE MEASUREMENT: *Objective #2: Decent Housing
Outcome #3: Improve sustainability, promoting livable/viable communities*

SERVICE AREA: *City of Mishawaka Corporate Limits*

COMPATIBILITY WITH HDC PLAN: *A. Housing Strategy # 2 Helping Renters Become and Remain Homeowners (pg. 38)*

GOALS OF PROJECT: *The primary goal is to acquire and rehabilitate one single-family residence within the corporate limits of Mishawaka that will be purchased by the participating family after they have rented it for two years.*

ENVIRONMENTAL ASSESSMENT: *Categorically excluded, 24 CFR 58.35 (a) (1)*

OTHER REVIEWS:

Davis-Bacon:	<i>No</i>
Relocation:	<i>No</i>
One for One Replacement Housing:	<i>No</i>
Job Creation:	<i>No</i>

Activity Description

(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 50

SUBMITTING ORGANIZATION: *City of Mishawaka Department of Redevelopment*

PROJECT TITLE: *Code Enforcement Program*

DESCRIPTION: *For code enforcement activities in the low-moderate income Milburn Avenue area of Mishawaka.*

BUDGET: **2009 REQUESTED:** \$35,000 *Staff recommendation:* \$35,000
2008 APPROVED: \$20,000

TIMETABLE: *Ongoing throughout 2009*

ELIGIBILITY: *24 CFR 570.202(c) Code enforcement activities. Costs incurred for inspection for code violations and enforcement of codes (e.g., salaries and related expenses of code enforcement inspectors and legal proceedings, but not including the cost of correcting the violations) in deteriorating or deteriorated areas when such enforcement together with public or private improvements, rehabilitation, or services to be provided may be expected to arrest the decline of the area.*

BENEFIT: *24 CFR 570.208(a)(1)(i) Area benefit activities. An activity, the benefits of which are available to all the residents in a particular area, where at least 51 percent of the residents are low- and moderate-income persons. Such an area need not be coterminous with census tracts or other officially recognized boundaries but must be the entire area served by the activity. An activity that serves an area that is not primarily residential in character shall not qualify under this criterion*

MATRIX CODE: *15 – Code enforcement*

OUTCOME

PERFORMANCE *Objective #1: Creates a suitable living environment*

MEASUREMENT: *Outcome # 3: Improve sustainability, promoting livable/viable communities*

COMPATIBILITY WITH HCD PLAN: *D “Other Needs”*

SERVICE AREA: *City of Mishawaka, Indiana*

GOALS OF PROJECT (aka Performance Measures/Outcome Measures/Performance Indicators): *50 open substandard and/or public nuisance cases on properties in the Milburn Avenue neighborhood of Mishawaka will be addressed and closed.*

ENVIRONMENTAL ASSESSMENT: *24 CFR 58.34(a)(3) Exempt Activity*

OTHER REVIEWS:

Davis-Bacon: *No*

Relocation: *No*

One for One Replacement Housing: *No*

Job Creation: *No*

Activity Description

(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 51

SUBMITTING ORGANIZATION: *Carpenter's Union Local 413*

PROJECT TITLE: *Owner-Occupied Rehab Program*

DESCRIPTION: *Provides home wheelchair modifications for residents of Mishawaka with low and moderate incomes*

BUDGET: **2009 REQUESTED:** \$7,000 *Staff recommendation:* \$7,000
2008 APPROVED: \$7,000

TIMETABLE:
Ongoing throughout 2009

ELIGIBILITY: ***24 CFR 570.202(b)(11)***

BENEFIT: ***24 CFR 570.208(a)(3) Activities benefiting low and moderate income persons. Housing activities. "An eligible activity carried out for the purpose of providing or improving permanent residential structures which, upon completion, will be occupied by low and moderate income households."***

MATRIX CODE: ***14A – Rehab, single unit residential***

OUTCOME

PERFORMANCE ***Objective #2: Decent Housing***

MEASUREMENT: ***Outcome #3: Improve sustainability, promoting livable/viable communities***

COMPATIBILITY WITH HCD PLAN: ***A. Housing: Strategy #1 Supporting Existing Homeowners, Activity A: Loans/Grants to Owner-Occupants for home rehabilitation/repair. Activity B: Home modifications to handicapped homeowners.***

SERVICE AREA:
City of Mishawaka, Indiana

GOALS OF PROJECT (aka Performance Measures/Outcome Measures/Performance Indicators): ***Approximately three (3) disabled Mishawaka homeowners will receive wheelchair ramp modifications to their homes.***

ENVIRONMENTAL ASSESSMENT: ***24 CFR 58.35 (a) (3) Categorically Excluded***

OTHER REVIEWS:

Davis-Bacon: *No*

Relocation: *No*

One for One Replacement Housing: *No*

Job Creation: *No*

Activity Description

(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 52

SUBMITTING ORGANIZATION: *City of Mishawaka Department of Redevelopment*

PROJECT TITLE: *Spot Blight Elimination Program*

DESCRIPTION: *For demolition and clearance of deteriorated homes*

BUDGET: **2009 REQUESTED:** \$32,623 Staff recommendation: \$32,023
2008 APPROVED: \$71,500

TIMETABLE: *Ongoing throughout 2009*

ELIGIBILITY: *24 CFR 570.201(d) Clearance activities. Clearance, demolition, and removal of buildings and improvements, including movement of structures to other sites. Demolition of HUD-assisted housing units may be undertaken only with the prior approval of HUD.*

BENEFIT: *24 CFR 570.208(b)(2) Activities to address slums or blight on a spot basis. Acquisition, clearance, relocation, historic preservation and building rehabilitation activities which eliminate specific conditions, of blight or physical decay on a spot basis not located in a slum or blighted area will meet this objective. Under this criterion, rehabilitation is limited to the extent necessary to eliminate specific conditions detrimental to public health and safety.*

MATRIX CODE: *04 – Clearance and demolition*

OUTCOME
PERFORMANCE
MEASUREMENT: *Objective #1: Creates a suitable living environment
Outcome # 3: Improve sustainability, promoting livable/viable communities*

COMPATIBILITY
WITH HCD
PLAN: *D “Other Needs” Strategy #2 Targeted Demolition*

SERVICE AREA: *City of Mishawaka, Indiana*

GOALS OF
PROJECT (aka
Performance
Measures/Outcome
Measures/Perform
ance Indicators): *One (1) vacant, deteriorated buildings will be acquired, demolished and cleared.*

ENVIRONMENTAL ASSESSMENT: *24 CFR 58.36 Environmental Assessment Required*

OTHER REVIEWS:

Davis-Bacon: *No*
Relocation: *No*
One for One Replacement Housing: *No*
Job Creation: *No*

Activity Description
(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 53

SUBMITTING ORGANIZATION: *City of Mishawaka*

PROJECT TITLE: *Mishawaka Summer of Service Program*

DESCRIPTION: *Provides home repairs through a coordinated effort with Rivervalley Church (a faith-based NFP). Home repairs and improvements will include scraping and painting garages and homes. Qualified adults will supervise, as well as the Department of Redevelopment.*

BUDGET: **2009 REQUESTED:** \$28,000 *Staff recommendation:* \$28,000
2008 APPROVED: \$21,000

TIMETABLE:
Ongoing throughout 2009

ELIGIBILITY: ***24 CFR 570.202 Eligible rehabilitation and preservation activities (b) Types of assistance.***
“CDBG funds may be used to finance the following types of rehabilitation activities...(2) Labor, materials, and other costs of rehabilitation of properties...”

BENEFIT: ***24 CFR 570.208(a) Activities benefiting low and moderate income persons. (3) Housing activities.***
“An eligible activity carried out for the purpose of providing or improving permanent residential structures which, upon completion, will be occupied by low and moderate income households.”

MATRIX CODE: *05 – Other Public Services*

OUTCOME

PERFORMANCE *Objective #2: Decent Housing*

MEASUREMENT: *Outcome #3: Improve sustainability, promoting livable/viable communities*

COMPATIBILITY WITH HCD PLAN: ***A. Housing: Strategy #1: Supporting Existing Homeowners, Activity A: Loans/Grants to Owner-Occupants for home rehabilitation/repair.***

SERVICE AREA:
City of Mishawaka, Indiana

GOALS OF PROJECT: *Approximately 4 garages and homes will be successfully rehabilitated with all lead paint hazards identified and controlled.*

ENVIRONMENTAL ASSESSMENT: *24 CFR 58.35 (a) (3) (i) Categorically Excluded*

OTHER REVIEWS:

Davis-Bacon: *No*

Relocation: *No*

One for One Replacement Housing: *No*

Job Creation: *No*

Activity Description

(MISHAWAKA 2009 PROGRAM YEAR)
APPLICATION #: 54

SUBMITTING ORGANIZATION: Home Management Resources, Inc.

PROJECT TITLE: Family Life Seminar for First Time Homebuyers

DESCRIPTION: To provide training designed to help individuals and families with practical skills so they can better manage their homes and lives.

BUDGET: 2009 REQUESTED: \$4,500 Staff recommendation: \$4,500
2008 APPROVED: \$4,500

TIMETABLE: Ongoing throughout 2009

ELIGIBILITY: 24 CFR 570.201 (e) Public service. "Provision of public services (including labor, supplies and materials) which are directed toward improving the community's public services and facilities, including but not limited to those concerning...education, ...counseling."

BENEFIT: 24 CFR 570.208 (a)(2) Limited clientele activities. (B)"Require information on family size and income so that it is evident that at least 51 percent of the clientele are persons whose family income does not exceed the low and moderate income limit;"

MATRIX CODE: 05R Homeownership assistance (not direct)

OUTCOME PERFORMANCE MEASUREMENT: Objective #1: Creates a suitable living environment
Outcome #3: Improve sustainability, promoting livable/viable communities

COMPATIBILITY WITH HCD PLAN: A. Housing, Strategy #2: Helping renters become and remain homeowners, a) homebuyer training.

SERVICE AREA: City of Mishawaka, Indiana

GOALS OF PROJECT (aka Performance Measures/Outcome Measures/Performance Indicators) : Six (6) families will receive training. Additional families and individuals may receive training if the demand requires.

ENVIRONMENTAL ASSESSMENT: 24 CFR 58.34 (a) (4) Exempt

OTHER REVIEWS:

Davis-Bacon: No
Relocation: No
One for One Replacement Housing: No
Job Creation: No



SF 424

The SF 424 is part of the CPMP Annual Action Plan. SF 424 form fields are included in this document. Grantee information is linked from the 1CPMP.xls document of the CPMP tool.

SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet.

Date Submitted	Applicant Identifier B-08-MC-18-0011	Type of Submission	
Date Received by state	State Identifier	Application	Pre-application
Date Received by HUD	Federal Identifier	<input type="checkbox"/> Construction	<input type="checkbox"/> Construction
		<input checked="" type="checkbox"/> Non Construction	<input type="checkbox"/> Non Construction
Applicant Information			
Error! Not a valid link. City of South Bend		Error! Not a valid link.	
Error! Not a valid link. 227 W. Jefferson Blvd.		Error! Not a valid link. 432-7123	
Error! Not a valid link.		Error! Not a valid link. City of South Bend	
Error! Not a valid link. South Bend	Indiana	Error! Not a valid link. Community & Economic Development	
Error! Not a valid link. 46601	Error! Not a valid link.	Error! Not a valid link. Financial Management	
Employer Identification Number (EIN):		Error! Not a valid link. St. Joseph	
35-6001201		Error! Not a valid link.	
Applicant Type:		Specify Other Type if necessary:	
Error! Not a valid link.		Error! Not a valid link.	
Program Funding		U.S. Department of Housing and Urban Development	
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Estimated Funding			
Community Development Block Grant		14.218 Entitlement Grant	
CDBG Project Titles Residential rehab, public facility rehab, child care, educational services, counseling, youth programs, Fair Housing & administration & planning.		Description of Areas Affected by CDBG Project(s) City of South Bend	
\$2,627,344.00 CDBG Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$100,000.00 Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for CDBG-based Project(s)			
Home Investment Partnerships Program		14.239 HOME	
HOME Project Titles Mortgage Subsidies, Apartment rehabilitation, Acquisition and Rehabilitation.		Description of Areas Affected by HOME Project(s) St. Joseph County	
\$1,070,342 HOME Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	

\$Locally Leveraged Funds \$273,773	\$Grantee Funds Leveraged
\$45,000 Anticipated Program Income	Other (Describe)
Total Funds Leveraged for HOME-based Project(s) \$273,773	

Housing Opportunities for People with AIDS		14.241 HOPWA
HOPWA Project Titles		Description of Areas Affected by HOPWA Project(s)
\$HOPWA Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged
\$Locally Leveraged Funds		\$Grantee Funds Leveraged
\$Anticipated Program Income		Other (Describe)
Total Funds Leveraged for HOPWA-based Project(s)		

Emergency Shelter Grants Program		14.231 ESG
ESG Project Titles Shelter operations		Description of Areas Affected by ESG Project(s) City of South Bend
\$123,833 ESG Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged
\$Locally Leveraged Funds \$118,833		\$Grantee Funds Leveraged
\$Anticipated Program Income		Other (Describe)
Total Funds Leveraged for ESG-based Project(s) \$118,833		

Congressional Districts of: Indiana		Is application subject to review by state Executive Order 12372 Process?	
Applicant Districts 2	2 project district	<input type="checkbox"/> Yes	This application was made available to the state EO 12372 process for review on DATE
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		X No	Program is not covered by EO 12372
<input type="checkbox"/> Yes	X No	<input type="checkbox"/> N/A	Program has not been selected by the state for review

Person to be contacted regarding this application		
Error! Not a valid link. Pamela	Error! Not a valid link. C.	Error! Not a valid link. Meyer
Error! Not a valid link. Director	Error! Not a valid link. 574/235-5845	Error! Not a valid link. 574/235-9697
Error! Not a valid link. pmeyer@southbendIN.gov	Error! Not a valid link. www.southbendin.gov	Error! Not a valid link.
Signature of Authorized Representative		Date Signed
Stephen J. Luecke, Mayor		



CPMP Non-State Grantee Certifications

Many elements of this document may be completed electronically, however a signature must be manually applied and the document must be submitted in paper form to the Field Office.

- This certification does not apply.
 This certification is applicable.

NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
 - a. The dangers of drug abuse in the workplace;
 - b. The grantee's policy of maintaining a drug-free workplace;
 - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will –
 - a. Abide by the terms of the statement; and
 - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted –
 - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official	Date

Name

Stephen J. Luecke

Title

Mayor

Address

227 W. Jefferson Blvd.

City/State/Zip

South Bend, IN 46601

Telephone Number

- | |
|---|
| <input type="checkbox"/> This certification does not apply. |
| <input checked="" type="checkbox"/> This certification is applicable. |

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

11. Maximum Feasible Priority - With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
12. Overall Benefit - The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2009, 2010, 2011, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
13. Special Assessments - It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Jurisdiction

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Name

Stephen J. Luecke

Title

Mayor

Address

227 W. Jefferson Blvd.

City/State/Zip

South Bend, IN 46601

Telephone Number

- This certification does not apply.
 This certification is applicable.

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities, which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- This certification does not apply.
 This certification is applicable.

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature/Authorized Official Date

Name

Title

Address

City/State/Zip

Telephone Number

- This certification does not apply.
 This certification is applicable.

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- | |
|---|
| <input type="checkbox"/> This certification does not apply. |
| <input checked="" type="checkbox"/> This certification is applicable. |

ESG Certifications

I, Stephen J. Luecke, Chief Executive Officer of **Error! Not a valid link.**, certify that the local government will ensure the provision of the matching supplemental funds required by the regulation at 24 *CFR* 576.51. I have attached to this certification a description of the sources and amounts of such supplemental funds.

I further certify that the local government will comply with:

1. The requirements of 24 *CFR* 576.53 concerning the continued use of buildings for which Emergency Shelter Grants are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services.
2. The building standards requirement of 24 *CFR* 576.55.
3. The requirements of 24 *CFR* 576.56, concerning assurances on services and other assistance to the homeless.
4. The requirements of 24 *CFR* 576.57, other appropriate provisions of 24 *CFR* Part 576, and other applicable federal laws concerning nondiscrimination and equal opportunity.
5. The requirements of 24 *CFR* 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
6. The requirement of 24 *CFR* 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
7. The requirements of 24 *CFR* Part 24 concerning the Drug Free Workplace Act of 1988.
8. The requirements of 24 *CFR* 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted with ESG funds and that the address or location of any family violence shelter project will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.
9. The requirement that recipients involve themselves, to the maximum extent practicable and where appropriate, homeless individuals and families in policymaking, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 *CFR* 76.56.
10. The requirements of 24 *CFR* 576.57(e) dealing with the provisions of, and regulations and procedures applicable with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related

authorities as specified in 24 *CFR* Part 58.

11. The requirements of 24 *CFR* 576.21(a)(4) providing that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services will meet the requirements that: (A) the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) the assistance must not supplant funding for preexisting homeless prevention activities from any other source.
12. The new requirement of the McKinney-Vento Act (42 *USC* 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.
13. HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a completed and approved Consolidated Plan with its certifications, which act as the application for an Emergency Shelter Grant, is authorized under state and/or local law, and that the local government possesses legal authority to carry out grant activities in accordance with the applicable laws and regulations of the U. S. Department of Housing and Urban Development.

Signature/Authorized Official

Date

Name

Stephen J. Luecke

Title

227 W. Jefferson Blvd.

Address

South Bend, IN 46601

City/State/Zip

574/235-9261

Telephone Number

- This certification does not apply.
 This certification is applicable.

APPENDIX TO CERTIFICATIONS

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code) Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

Place Name	Street	City	County	State	Zip
City of South Bend	227 W. Jefferson	South Bend	St. Joseph	IN	46601
City of South Bend	224 w. Jefferson	South Bend	St. Joseph	IN	46601
City of Mishawaka	600 E. Third St.	Mishawaka	St. Joseph	IN	46544

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any

Jurisdiction

controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:

- All "direct charge" employees;
- all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and
- a. temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must be completed, in use, and on file for verification. These documents include:

1. Analysis of Impediments to Fair Housing
2. Citizen Participation Plan
3. Anti-displacement and Relocation Plan

Signature/Authorized Official

Date

Name

Stephen J. Luecke

Title

Mayor

Address

227 W. Jefferson Blvd

City/State/Zip

South Bend, IN 46601

Telephone Number



SF 424

DATE RECEIVED
OCT 17 2008

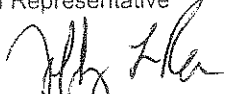
The SF 424 is part of the CPMP Annual Action Plan. SF 424 form fields are included in this document. Grantee information is linked from the ICPMP.xls document of the CPMP tool.

SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet.

Date Submitted		Applicant Identifier		Type of Submission	
Date Received by state		State Identifier		Application	
Date Received by HUD		Federal Identifier		Pre-application	
				<input type="checkbox"/> Construction	
				<input type="checkbox"/> Construction	
				<input checked="" type="checkbox"/> Non Construction	
				<input type="checkbox"/> Non Construction	
Applicant Information					
Jurisdiction City of Mishawaka			UOG Code		
Street Address Line 1 600 East Third Street			Organizational DUNS 07-431-0350		
Street Address Line 2			Organizational Unit City of South Bend		
City Mishawaka		Indiana		Department Community & Economic Development	
ZIP 46544		Country U.S.A.		Division Financial Management	
Employer Identification Number (EIN):			County St. Joseph		
35-6001115			Program Year Start Date 01/01/2008)		
Applicant Type:			Specify Other Type if necessary:		
Local Government Municipal City			Specify Other Type		
Program Funding			U.S. Department of Housing and Urban Development		
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Estimated Funding					
Community Development Block Grant			14.218 Entitlement Grant		
CDBG Project Titles Residential rehab & lead based paint activities, spot blight elimination, general admin & planning, public infrastructure, food & nutrition services, youth programs, weatherization, child care, health services, and fair housing activities.			Description of Areas Affected by CDBG Project(s) City of Mishawaka, Indiana		
\$500,000.00 CDBG Grant Amount		\$Additional HUD Grant(s) Leveraged		Describe	
\$Additional Federal Funds Leveraged			\$Additional State Funds Leveraged		
\$Locally Leveraged Funds			\$Grantee Funds Leveraged		
\$70,523.00 Anticipated Program Income			Other (Describe)		
Total Funds Leveraged for CDBG-based Project(s)					
Home Investment Partnerships Program			14.239 HOME		
HOME Project Titles			Description of Areas Affected by HOME Project(s) y		
HOME Grant Amount		\$Additional HUD Grant(s) Leveraged		Describe	
\$Additional Federal Funds Leveraged			\$Additional State Funds Leveraged		

\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$ Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for HOME-based Project(s)			
Housing Opportunities for People with AIDS		14.241 HOPWA	
HOPWA Project Titles		Description of Areas Affected by HOPWA Project(s)	
\$HOPWA Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for HOPWA-based Project(s)			
Emergency Shelter Grants Program		14.231 ESG	
ESG Project Titles		Description of Areas Affected by ESG Project(s)	
ESG Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for ESG-based Project(s)			
Congressional Districts of: Indiana		Is application subject to review by state Executive Order 12372 Process?	
Applicant Districts 2	2 project district	<input type="checkbox"/> Yes	This application was made available to the state EO 12372 process for review on DATE
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		<input checked="" type="checkbox"/> No	Program is not covered by EO 12372
<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> N/A	Program has not been selected by the state for review

Person to be contacted regarding this application		
First Name Jeffrey	Middle Initial L.	Last Name Rae
Title Mayor	Phone 574/258-1601	Fax 574/258-1603
eMail jrea@mishawakacity.com	Grantee Website www.mishawakacity.com	Other Contact Lory Timmer timmer@mishawakacity.com
Signature of Authorized Representative 		Date Signed 10/10/08



CPMP Non-State Grantee Certifications

Many elements of this document may be completed electronically, however a signature must be manually applied and the document must be submitted in paper form to the Field Office.

- This certification does not apply.
 This certification is applicable.

NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about --
 - a. The dangers of drug abuse in the workplace;
 - b. The grantee's policy of maintaining a drug-free workplace;
 - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will --
 - a. Abide by the terms of the statement; and
 - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted --
 - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Jurisdiction

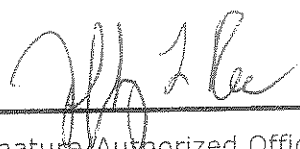
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



10-10-08

Signature/Authorized Official

Date

Jeffrey L. Rea

Name

Mayor

Title

600 E. Third Street

Address

Mishawaka, Indiana 46544

City/State/Zip

574 / 258-1601

Telephone Number

- This certification does not apply.
 This certification is applicable.

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

11. Maximum Feasible Priority - With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
12. Overall Benefit - The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2007, 2008, 2009, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
13. Special Assessments - It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Jurisdiction

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

Compliance with Laws -- It will comply with applicable laws.



10-10-08

Signature/Authorized Official

Date

Jeffrey L. Rea

Name

Mayor

Title

600 E. Third Street

Address

Mishawaka, Indiana 46544

City/State/Zip

574 / 258-1601

Telephone Number

<input type="checkbox"/> This certification does not apply. <input checked="" type="checkbox"/> This certification is applicable.
--

APPENDIX TO CERTIFICATIONS

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code) Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

Place Name	Street	City	County	State	Zip
City of South Bend	227 W. Jefferson	South Bend	St. Joseph	IN	46601
City of Mishawaka	600 E. Third	Mishawaka	St. Joseph	IN	46544

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any

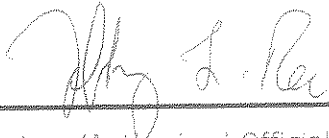
Jurisdiction

controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:

- a. All "direct charge" employees;
- b. all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and
- c. temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must be completed, in use, and on file for verification. These documents include:

- 1. Analysis of Impediments to Fair Housing
- 2. Citizen Participation Plan
- 3. Anti-displacement and Relocation Plan



10-10-08

Signature/Authorized Official

Date

Jeffrey L. Rea

Name

Mayor

Title

600 E. Third Street

Address

Mishawaka, Indiana 46544

City/State/Zip

574 / 258-1601

Telephone Number